

# Dynamic structure factor of Luttinger liquids with quadratic energy dispersion and long-range interactions

Peyman Pirooznia,<sup>1</sup> Florian Schütz,<sup>2</sup> and Peter Kopietz<sup>1</sup>

<sup>1</sup>*Institut für Theoretische Physik, Universität Frankfurt, Max-von-Laue Strasse 1, 60438 Frankfurt, Germany*

<sup>2</sup>*Department of Physics, Brown University, Providence, Rhode Island 02912-1843, USA*

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We calculate the dynamic structure factor  $S(\omega, q)$  of spinless fermions in one dimension with quadratic energy dispersion  $k^2/2m$  and long-range density-density interaction whose Fourier transform  $f_q$  is dominated by small momentum transfers  $q \lesssim q_0 \ll k_F$ . Here  $q_0$  is a momentum-transfer cutoff and  $k_F$  is the Fermi momentum. Using functional bosonization and the known properties of symmetrized closed fermion loops, we obtain an expansion of the *inverse* irreducible polarization to second order in the small parameter  $q_0/k_F$ . In contrast to perturbation theory based on conventional bosonization, our functional bosonization approach is not plagued by mass-shell singularities. For interactions which can be expanded as  $f_q = f_0 + f_0'' q^2/2 + O(q^4)$  with  $f_0'' \neq 0$ , we show that the momentum scale  $q_c = 1/|mf_0''|$  separates two regimes characterized by a different  $q$  dependence of the width  $\gamma_q$  of the collective zero sound mode and other features of  $S(\omega, q)$ . For  $q_c \ll q \ll k_F$  we find that the line shape is non-Lorentzian with an overall width  $\gamma_q \propto q^3/(mq_c)$  and a threshold singularity  $[(\omega - \omega_q^-) \ln^2(\omega - \omega_q^-)]^{-1}$  at the lower edge  $\omega \rightarrow \omega_q^- = vq - \gamma_q$ , where  $v$  is the velocity of the zero sound mode. Assuming that higher orders in perturbation theory transform the logarithmic singularity into an algebraic one, we find for the corresponding threshold exponent  $\mu_q = 1 - 2\eta_q$  with  $\eta_q \propto q_c^2/q^2$ . Although for  $q \lesssim q_c$  we have not succeeded to explicitly evaluate our functional bosonization result for  $S(\omega, q)$ , we argue that for any one-dimensional model belonging to the Luttinger liquid universality class, the width of the zero sound mode scales as  $q^2/m$  for  $q \rightarrow 0$ .

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## I. INTRODUCTION

Recently several authors have calculated the dynamic structure factor  $S(\omega, q)$  in the Luttinger liquid phase of model systems for interacting fermions with nonlinear energy dispersion in one spatial dimension.<sup>1-15</sup> Mathematically,  $S(\omega, q)$  is defined as the spectral density of the density-density correlation function,

$$S(\omega, q) = \int dt \int dx e^{i(\omega t - qx)} \langle \delta\hat{\rho}(x, t) \delta\hat{\rho}(0, 0) \rangle, \quad (1.1)$$

where  $\delta\hat{\rho}(x, t)$  is the operator representing the deviation of the density from its average. The dynamic structure factor can be directly measured via scattering experiments probing density-density correlations of the system. It is therefore important to have quantitatively accurate theoretical predictions for the line shape of  $S(\omega, q)$ .

Although there is general agreement that in the Luttinger liquid regime of one-dimensional interacting fermions  $S(\omega, q)$  exhibits for small frequencies  $\omega$  and wave vectors  $q$  a narrow peak associated with the collective zero sound (ZS) mode,<sup>16,17</sup> a quantitative understanding of the precise line shape of the ZS resonance in generic nonintegrable models is still lacking. The spectral line shape is expected to depend on nonuniversal parameters of the model under consideration, such as the nonlinear terms in the expansion of the energy dispersion  $\epsilon_k$  around the Fermi momentum  $k_F$ , the coefficients in the expansion of the Fourier transform  $f_q$  of the interaction for small momentum transfers  $q$ , or the strength of backscattering interactions involving momentum transfers of order  $2k_F$ . Because these parameters correspond to couplings which are irrelevant (in the renormalization-group

sense) at the Luttinger liquid fixed point, the line shape of  $S(\omega, q)$  is hard to obtain using standard field-theoretical methods, such as field-theoretical bosonization, which has otherwise been very successful in obtaining the infrared properties of Luttinger liquids.<sup>18-21</sup> Recall that the crucial step in the bosonization approach is the linearization of the energy dispersion around the Fermi points,  $\epsilon_{k_F+q} - \epsilon_{k_F} \approx v_F q$ , where  $v_F$  is the Fermi velocity. If in addition the Fourier transform  $f_q$  of the interaction is nonzero only for small momentum transfers ( $q \ll k_F$ ), we arrive at the exactly solvable Tomonaga-Luttinger model (TLM), whose bosonized Hamiltonian is noninteracting.<sup>18-21</sup> As a consequence, the dynamic structure factor of the TLM has only a single  $\delta$ -function peak corresponding to a collective ZS mode with infinite lifetime. For spinless fermions with long-range density-density interaction  $f_q$  one obtains for small  $q$ ,

$$S_{\text{TLM}}(\omega, q) = Z_q \delta(\omega - v_0 |q|), \quad (1.2)$$

where the velocity  $v_0$  and the weight  $Z_q$  of the collective ZS mode can be written as

$$v_0/v_F = \sqrt{1 + g_0}, \quad (1.3)$$

$$Z_q = \frac{v_F q^2}{2\pi v_0 |q|} = \frac{|q|}{2\pi \sqrt{1 + g_0}}. \quad (1.4)$$

For later convenience we have introduced the relevant dimensionless interaction at vanishing momentum transfer,

$$g_0 = v_0 f_0, \quad (1.5)$$

where  $v_0 = 1/(\pi v_F)$  is the noninteracting density of states at the Fermi energy.

The question is now how the line shape of  $S(\omega, q)$  changes if we do not linearize the energy dispersion. There have been many recent attempts to find an answer to this question. Roughly, the proposed methods can be divided into four different categories:

(1) *Conventional bosonization.* The established machinery of conventional bosonization<sup>18–21</sup> has been used in Refs. 1, 8, and 14 to calculate the dynamic structure factor of Luttinger liquids. Expanding the energy dispersion around  $k=k_F$  beyond linear order,  $\epsilon_{k_F+q} \approx \epsilon_{k_F} + v_F q + q^2/(2m)$ , the quadratic term  $q^2/(2m)$  gives rise to cubic interaction vertices proportional to  $1/m$  in the bosonized model.<sup>22</sup> Hence, bosonization maps the original (unsolvable) fermionic many-body problem onto another unsolvable problem involving bosonic degrees of freedom. The hope is that perturbation theory for the effective boson model is well defined and more convenient to carry out in practice than in the original fermion model.<sup>19</sup> Unfortunately, this strategy fails for the calculation of  $S(\omega, q)$  because already to second order in  $1/m$  one encounters singular terms proportional to  $1/(\omega \pm v_0 q)$ , which become arbitrarily large as the frequency approaches the mass shell  $\omega \rightarrow \pm v_0 q$ . Some time ago Samokhin<sup>1</sup> proposed a simple regularization procedure of these mass-shell singularities which we shall review in Sec. III. Assuming a Lorentzian line shape, he found that for  $q \rightarrow 0$  most of the spectral weight is smeared out over an interval of width  $q^2/m$ . Although this estimate for the width of the ZS resonance was later confirmed by various other calculations,<sup>4,9–11,14</sup> the assumption of a Lorentzian line shape turns out to be incorrect. It would be more desirable to have a controlled method of resumming the interaction in the bosonized Hamiltonian to infinite orders such that the unphysical mass-shell singularities are properly regularized; apparently this problem has not been solved so far. We shall further elaborate on these mass-shell singularities in Secs. III and V.

(2) *Resumming fermionic perturbation theory via an effective Hamiltonian.* Because of the above mentioned problems inherent in standard bosonization, it seems better to set up the perturbation expansion in terms of the original fermionic degrees of freedom using diagrammatic techniques. In this approach, it is convenient to first calculate the polarization function  $\Pi(i\omega, q)$  for imaginary frequencies and then use the fluctuation-dissipation theorem to obtain the dynamic structure factor,

$$S(\omega, q) = \pi^{-1} \text{Im} \Pi(\omega + i0, q). \quad (1.6)$$

For simplicity, we shall focus on the limit of vanishing temperature throughout this work. For long-range interactions whose Fourier transforms  $f_q$  are dominated by small wave vectors  $q \ll k_F$ , one usually avoids the direct expansion  $\Pi(\omega, q)$  in powers of the bare interaction but instead expands its irreducible part  $\Pi_*(\omega, q)$  which is defined via

$$\Pi^{-1}(\omega, q) = f_q + \Pi_*^{-1}(\omega, q). \quad (1.7)$$

In a recent paper, Pustilnik *et al.*<sup>4</sup> did not follow this standard approach but expanded the full (i.e., reducible) polarization  $\Pi(\omega, q)$  in powers of the bare interaction. They found already at the first order in the bare interaction that the correc-

tion to  $S(\omega, q)$  diverges logarithmically if  $\omega$  approaches a certain threshold edge  $\omega_q^-$  from above. Pustilnik *et al.*<sup>4</sup> then proposed a resummation procedure of the most singular terms in the perturbation series to all orders using an effective Hamiltonian constructed in analogy with the x-ray problem. In this way, they succeeded to transform the logarithmic threshold singularity into an algebraic one, characterized by a certain momentum-dependent threshold exponent. The spectral line shape cannot therefore be approximated by a Lorentzian as implicitly assumed by Samokhin;<sup>1</sup> on the other hand, Samokhin's result<sup>1</sup> that the overall width of the ZS resonance scales as  $q^2/m$  was confirmed by Pustilnik *et al.*<sup>4</sup> However, Pustilnik *et al.*<sup>4</sup> did not explicitly analyze the higher-order terms in the perturbation series to demonstrate that the logarithmic singularity encountered at the first order can really be resummed to all orders to yield an algebraic singularity. Moreover, they did not keep track of the (finite) renormalization of the ZS velocity  $v$ , which determines the precise energy scale of the collective ZS resonance and its position relative to the energy of the single-pair particle-hole continuum, which *a priori* need not be identical.

(3) *Integrable models.* The dynamic structure factor of Luttinger liquids may also be studied using exactly solvable models belonging to the Luttinger liquid universality class, such as the XXZ chain<sup>9–11</sup> or the Calogero-Sutherland model.<sup>5,6</sup> These calculations have confirmed the results obtained by Pustilnik *et al.*<sup>4</sup> for generic (not necessarily integrable) one-dimensional Luttinger liquids: The spectral line shape is non-Lorentzian, exhibits algebraic threshold singularities, and the weight is smeared over a frequency interval proportional to  $q^2/m$  for  $q \rightarrow 0$ . However, one cannot exclude the possibility that the algebraic threshold singularities are a special feature of integrable models and that in generic non-integrable models the higher-order terms in the perturbation series do not conspire to transform logarithmic singularities into algebraic ones. Note also that the effective two-body interaction in the spinless fermion model obtained from the XXZ chain via the usual Jordan-Wigner transformation involves also momentum transfers of the order of  $2k_F$ . This model is therefore different from the forward-scattering model (FSM) with quadratic dispersion considered here, where the Fourier transform of the density-density interaction  $f_q$  is only finite for  $q \ll k_F$ . Apparently, an exactly solvable model with nonlinear energy dispersion and density-density interaction  $f_q$  involving only small momentum transfers and  $f_{q=0} > 0$  does not exist.

(4) *Functional bosonization.* This is an alternative method of describing fermionic many-body systems with dominant forward scattering in terms of bosonic degrees of freedom. In the context of the TLM, the functional bosonization idea has been introduced by Fogedby<sup>23</sup> and by Lee and Chen.<sup>24</sup> Later this technique has been used to bosonize interacting fermions with dominant forward scattering in arbitrary dimensions<sup>25</sup> and to estimate the effect of the nonlinear energy dispersion on the single-particle Green's function.<sup>26</sup> For a review of this approach see Ref. 27, where the advantages of this method for calculating the dynamic structure factor have already been advocated. Like in conventional bosonization, in the functional bosonization approach the nonlinear terms in the energy dispersion give rise to interaction vertices in the ef-

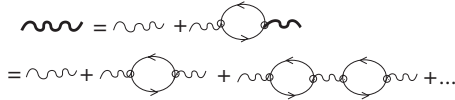


FIG. 1. Diagrammatic definition of the screened interaction within random-phase approximation. The thin wavy line denotes the bare interaction and the solid arrows represent noninteracting fermionic single-particle Green's functions.

fective bosonized action of the system. However, the interaction vertices in functional bosonization are rather different from the vertices due to the nonlinear dispersion in conventional bosonization. In fact, the interaction vertices in functional bosonization can be identified diagrammatically with symmetrized closed fermion loops, which can be calculated exactly for quadratic dispersion in one dimension.<sup>28–30</sup> While in conventional bosonization a quadratic energy dispersion gives rise to cubic vertices in the bosonized Hamiltonian,<sup>19,22</sup> within functional bosonization a quadratic dispersion leads to infinitely many vertices involving an arbitrary number of boson fields. The fact that perturbation theory for  $S(\omega, q)$  based on functional bosonization is different from perturbation theory based on conventional bosonization is obvious if one considers the noninteracting limit: While functional bosonization yields the exact free polarization  $\Pi_0(\omega, q)$ , conventional bosonization produces an expansion of  $\Pi_0(\omega, q)$  in powers of  $1/m$ , which in practice has to be truncated at some low order, leading to unphysical mass-shell singularities.

In Ref. 12 two of us have used the functional bosonization approach to calculate the width  $\gamma_q$  of the ZS mode in a generalized Tomonaga model with quadratic energy dispersion. To estimate the effect of nonlinear energy dispersion on the dynamic structure factor, we have truncated the expansion of the inverse irreducible polarization at the first order in an expansion in powers of the Gaussian propagator of the boson fields, which can be identified with the effective screened interaction within random-phase approximation (RPA) defined in Fig. 1. To this order, the simple first-order Hartree contribution to the bosonic self-energy in the functional bosonization approach (the corresponding Feynman diagram is shown in Fig. 6(a) in Sec. V) is in fermionic language equivalent to the sum of the three first-order interaction corrections to the irreducible polarization shown in Fig. 2. Functional bosonization thus consistently sums self-energy corrections [diagrams (a) and (b) in Fig. 2] and vertex corrections [diagram (c) in Fig. 2] of the underlying fermion problem. Actually, the interpretation of the *inverse* irreducible polarization as the self-energy of the effective boson theory obtained via functional bosonization suggests that one should always expand the inverse irreducible polarization  $\Pi_*^{-1}(\omega, q)$  in powers of the relevant small parameter.<sup>12,27</sup> Unfortunately, it is not consistent to truncate the expansion of

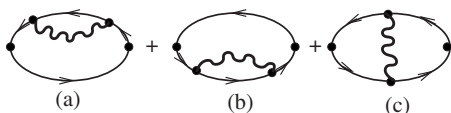


FIG. 2. Corrections to the irreducible polarization in an expansion to first order in powers of the RPA interaction.

$\Pi_*^{-1}(\omega, q)$  at the first order in the RPA interaction, so that the result  $\gamma_q \propto q^3$  for the ZS damping obtained in Ref. 12 cannot be trusted.

In this work, we construct a more systematic expansion of  $\Pi_*^{-1}(\omega, q)$  in powers of bosonic loops using functional bosonization. We argue that individual terms are proportional to  $p_0^{2l}$ , where  $p_0 = q_0/2k_F$  is the dimensionless range of the interaction in momentum space and  $l$  is the number of bosonic loop integrations in the corresponding bosonic Feynman diagrams. The propagators in these diagrams are self-consistently dressed RPA interactions and the vertices are the symmetrized closed fermion loops mentioned above, constructed from self-consistent Hartree Green's functions. We keep all terms to order  $p_0^2$ , i.e., up to one bosonic loop. In addition to the Hartree-type diagram analyzed in Ref. 12, a bosonic tadpole diagram and, more importantly, an Aslamazov-Larkin-type diagram [shown in Fig. 6(b) in Sec. V] also contribute to this order.

The ZS damping depends crucially on the position in energy of the collective ZS mode with respect to single-pair and multipair particle-hole excitations. Within the RPA the ZS mode is sharp and perturbative corrections to the polarization describe its coupling to multipair excitations, whereby it can acquire a lifetime. In three dimensions general phase-space arguments<sup>16</sup> imply that the resulting damping is very small. In one dimension, an argument due to Teber<sup>7</sup> suggests that the damping of any acoustic collective mode which overlaps with the two-pair continuum should vanish as  $q^3$  for small  $q$ . However, for this argument to be valid, one should self-consistently calculate the renormalized energy of the ZS mode and show that it is immersed in the multipair continuum. This has neither been done in our previous work<sup>12</sup> nor in the work by Pustilnik *et al.*<sup>4</sup> The RPA for the dynamic structure factor artificially distinguishes between the ZS energy  $v_0|q|$  and the energy scale  $v_F|q|$  associated with the single-pair continuum (see Sec. III). We are now able to show that this distinction disappears once the corrections to the RPA are self-consistently taken into account.

We strive for an explicit analytical evaluation of the resulting loop integrations. However, due to the complex algebraic structure of the bosonic vertices, we are forced to approximate the polarization inside the loop integrals by its limit for a linearized energy dispersion (approximation A introduced in Sec. V B). We can then show that some remarkable cancellations between the Hartree and the Aslamazov-Larkin-type diagrams take place, eliminating the mass-shell singularities at the noninteracting energy  $v_F q$ . For an interaction with sharp momentum cutoff, we can explicitly evaluate all integrals. A remaining mass-shell singularity at the interacting energy scale  $v_0 q$  disappears if we use an interaction with a smooth Taylor expansion for small momenta  $q$ . We then find a large intermediate regime  $q_c \lesssim q \ll k_F$  where indeed  $\gamma_q \propto q^3/(mq_c)$ . The momentum scale  $q_c$  is determined by the momentum dependence of the interaction  $f_q$  [see Eq. (2.9) below]. Due to the complexity of the integrations, in the regime  $q \ll q_c$  we have not been able to evaluate our functional bosonization result for  $S(\omega, q)$ . However, at  $q \approx q_c$  our expression for  $\gamma_q$  matches the result  $\gamma_q \propto q^2/m$  obtained by several other authors for different model systems

for Luttinger liquids.<sup>1,3,4,9</sup> We therefore believe that quite generally for any model belonging to the Luttinger liquid universality class the width of the ZS resonance asymptotically scales as  $q^2$  for  $q \rightarrow 0$ .

To conclude this section, let us give a brief outline of the rest of this work. After introducing the FSM explicitly in Sec. II, we shall discuss the dynamic structure factor within the RPA in Sec. III; although in this approximation the ZS mode is not damped, it is still instructive to start from the RPA because it allows us to understand the origin of the mass-shell singularities encountered in conventional bosonization. In Sec. IV, we outline the functional bosonization approach to the FSM, which we then use in Sec. V to derive a self-consistency equation for  $\Pi_*^{-1}(\omega, q)$  which does not exhibit any mass-shell singularities. In Sec. VI, we present an evaluation of this expression for sharp momentum-transfer cutoff  $f_q = f_0 \Theta(q_0 - |q|)$ , while in Sec. VII we consider a general interaction  $f_q$ . We also present explicit results for the spectral line shape of  $S(\omega, q)$  and the ZS damping. In Sec. VIII, we briefly summarize our main results and point out some open problems. In the Appendix, we derive explicit expressions for the symmetrized closed fermion loops of our forward scattering model and carefully discuss the symmetrized fermionic three loop and the four loop which are needed for the calculations in the main part of this work.

## II. FORWARD-SCATTERING MODEL

We consider nonrelativistic spinless fermions interacting with long-range density-density forces in one spatial dimension. The Euclidean action of our model is

$$S[\bar{c}, c] = S_0[\bar{c}, c] + \frac{1}{2} \int_Q f_q \rho_{-Q} \rho_Q, \quad (2.1)$$

where the noninteracting part can be written in terms of Grassmann fields  $c_K$  and  $\bar{c}_K$  representing the spinless fermions,

$$S_0[\bar{c}, c] = - \int_K (i\omega - \epsilon_k + \mu) \bar{c}_K c_K. \quad (2.2)$$

Here,  $\mu$  is the chemical potential and the energy dispersion is assumed to be quadratic,

$$\epsilon_k = \frac{k^2}{2m}. \quad (2.3)$$

The composite field

$$\rho_Q = \int_K \bar{c}_K c_{K+Q} \quad (2.4)$$

represents the Fourier components of the density. The collective label  $K=(i\omega, k)$  denotes fermionic Matsubara frequencies  $i\omega$  and wave vectors  $k$ , while  $Q=(i\bar{\omega}, q)$  depends on bosonic Matsubara frequencies  $i\bar{\omega}$ . The corresponding integration symbols are  $\int_K = (\beta V)^{-1} \sum_{\omega, k}$  and  $\int_Q = (\beta V)^{-1} \sum_{\bar{\omega}, q}$ , where  $\beta$  is the inverse temperature and  $V$  is the volume of

the system. Eventually, we shall take the limit of infinite volume  $V \rightarrow \infty$  and zero temperature  $\beta \rightarrow \infty$ , where  $\int_K = \int \frac{d\omega dk}{(2\pi)^2}$  and  $\int_Q = \int \frac{d\bar{\omega} dq}{(2\pi)^2}$ . We assume that the Fourier transform  $f_q$  of the interaction is suppressed for momentum transfers  $q$  exceeding a certain cutoff  $q_0 \ll k_F$ . For explicit calculations it is sometimes convenient to use a sharp cutoff,<sup>12</sup>

$$f_q = f_0 \Theta(q_0 - |q|). \quad (2.5)$$

However, as will be discussed in detail in Sec. VI, the vanishing of all derivatives of  $f_q$  at  $q=0$  eliminates an important damping mechanism, so that it is better to work with a more realistic smooth cutoff, such as a Lorentzian,

$$f_q = \frac{f_0}{1 + q^2/q_0^2}. \quad (2.6)$$

Throughout this work we assume that the momentum-transfer cutoff  $q_0$  (which for Lorentzian interaction can be identified with the Thomas-Fermi screening wave vector) satisfies

$$p_0 \equiv \frac{q_0}{2k_F} \ll 1. \quad (2.7)$$

The precise form of  $f_q$  is not important for our purpose as long as for small  $q$  we may expand

$$f_q = f_0 + \frac{1}{2} f_0'' q^2 + O(q^4), \quad \text{with } f_0'' \neq 0. \quad (2.8)$$

By dimensional analysis, we may use the second derivative  $f_0''$  of the Fourier transform of the interaction to construct another momentum scale,

$$q_c = \frac{1}{m|f_0''|}, \quad (2.9)$$

which will play an important role in this work. Note that for Lorentzian cutoff  $f_0'' = -2f_0/q_0^2 < 0$  and  $q_c = q_0^2/(2mf_0)$ , but in general the momentum scale  $q_c$  is independent of the momentum-transfer cutoff  $q_0$ . We assume that

$$q_c \ll q_0 \ll k_F. \quad (2.10)$$

For simplicity, we shall refer to the forward scattering model defined above as the FSM. If we further simplify the FSM by linearizing the energy dispersion around the two Fermi points,  $\epsilon_{\pm k_F+q} - \epsilon_{k_F} \approx \pm v_F q$ , and by extending the linear dispersion at each Fermi point to the infinite line  $-\infty < q < \infty$ , then the FSM reduces to the spinless TLM with dimensionless forward-scattering interactions  $\tilde{g}_2 = \tilde{g}_4 = g_0 = \nu_0 f_0$  in “g-ology” notation.<sup>18</sup> In contrast to the TLM, the FSM does not require ultraviolet regularization because the quadratic energy dispersion in one dimension renders all loop integration ultraviolet convergent. Hence the usual problems associated with the removal of ultraviolet cutoffs and the associated anomalies<sup>31</sup> simply do not arise in the FSM.

## III. RPA FOR THE FORWARD-SCATTERING MODEL

For the TLM, i.e., for a linearized energy dispersion, the symmetrized closed fermion loops with more than two exter-

nal legs vanish.<sup>25,27,32,33</sup> Hence, in this limit the RPA yields the exact dynamic structure factor and seems to be a reasonable starting point for the perturbative calculation of  $S(\omega, q)$  in the FSM. However, as we will see below, the position of the single-pair particle-hole continuum in the RPA is determined by the bare dispersion relation. It has been proposed recently that perturbation theory should rather be build on the so-called random-phase-approximation exchange (RPAE) or “time-dependent Hartree-Fock approximation” which takes the renormalization of the single-pair particle-hole continuum approximately into account.<sup>13,15</sup> In this section, we shall nevertheless carefully work out the spectral line shape of the FSM using the simple RPA, as this is sufficient to understand the relation between the mass-shell singularities and the expansion of the free polarization in powers of  $1/m$ . In our subsequent functional bosonization calculation, we shall self-consistently take the renormalization of the single-pair particle-hole continuum into account.

Within the RPA, the irreducible polarization is approximated by the noninteracting one,

$$\Pi_*(Q) \approx \Pi_0(Q) = - \int_K G_0(K)G_0(K+Q), \quad (3.1)$$

where

$$G_0(K) = \frac{1}{i\omega - \xi_k}, \quad (3.2)$$

with

$$\xi_k = \frac{k^2}{2m} - \frac{k_F^2}{2m}. \quad (3.3)$$

For  $\beta \rightarrow \infty$  and  $V \rightarrow \infty$  the integrations can be performed analytically,

$$\begin{aligned} \Pi_0(Q) &= - \frac{1}{V} \sum_k \frac{\Theta(-\xi_k) - \Theta(-\xi_{k+q})}{i\omega - \xi_{k+q} + \xi_k} \\ &= \frac{m}{\pi q} \ln \left| \frac{i\bar{\omega} + v_F q + \frac{q^2}{2m}}{i\bar{\omega} + v_F q - \frac{q^2}{2m}} \right|. \end{aligned} \quad (3.4)$$

The corresponding RPA structure factor has been discussed in Ref. 12. It consists of two contributions,

$$S_{\text{RPA}}(\omega, q) = Z_q \delta(\omega - \omega_q) + S_{\text{RPA}}^{\text{inc}}(\omega, q), \quad (3.5)$$

where the first term represents the undamped ZS mode with weight,

$$Z_q = \frac{v_F q^2}{2\pi\omega_q} W_q, \quad (3.6)$$

and energy,<sup>34</sup>

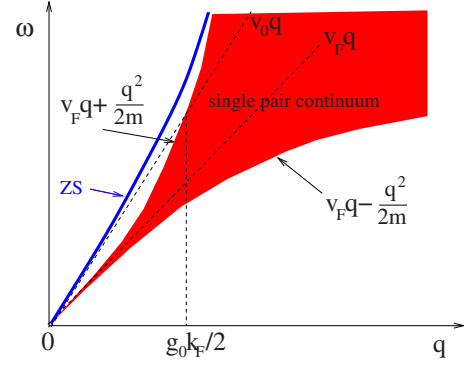


FIG. 3. (Color online) Regime in the  $\omega$ - $q$  plane where  $S_{\text{RPA}}(\omega, q)$  is finite. The shaded region represents the single-pair particle-hole continuum, while the thick line corresponds to the ZS mode. For weak interaction  $g_0 \ll 1$  the linear approximation  $\omega_q \approx v_0|q|$  to the dispersion of the ZS mode crosses the upper boundary of the single-pair continuum at  $q \approx g_0 k_F/2$ . However, the nonlinear corrections to the ZS dispersion (3.7) are such that it never intersects the single-pair continuum, so that there is no Landau damping.

$$\begin{aligned} \omega_q &= v_F |q| \sqrt{1 + \frac{q}{k_F} \coth\left(\frac{q}{k_F g_0}\right) + \left[\frac{q}{2k_F}\right]^2} \\ &= v_0 |q| \left\{ 1 + \frac{g_0(4+3g_0)}{6x_0^2} \left[\frac{q}{2k_F g_0}\right]^2 + O(q^4) \right\}. \end{aligned} \quad (3.7)$$

The dimensionless function

$$W_q = \frac{\left[\frac{q}{k_F g_0}\right]^2}{\sinh^2\left(\frac{q}{k_F g_0}\right)} \quad (3.8)$$

yields the relative contribution of the ZS peak to the  $f$ -sum rule,<sup>12</sup>

$$\int_0^\infty d\omega \omega S(\omega, q) = \frac{v_F q^2}{2\pi}. \quad (3.9)$$

The second part  $S_{\text{RPA}}^{\text{inc}}(\omega, q)$  in Eq. (3.5) represents the incoherent continuum due to excitations involving a single-particle-hole pair (single-pair continuum).<sup>35</sup> The regime in the  $\omega$ - $q$  plane where  $S_{\text{RPA}}(\omega, q)$  is finite is shown in Fig. 3. The corresponding qualitative shape of  $S_{\text{RPA}}(\omega, q)$  for fixed  $q \ll k_F$  is shown in Fig. 4. The ZS mode never touches the single-pair continuum. Consequently, there is no Landau damping and within RPA the ZS mode is undamped. Broadening of the ZS mode is due to multipair excitations neglected in RPA. In the limit  $g_0 \rightarrow 0$  the ZS mode disappears and the incoherent part  $S_{\text{RPA}}^{\text{inc}}(\omega, q)$  reduces to the dynamic structure factor of the free Fermi gas, which for  $q < 2k_F$  is simply a box function of width  $q^2/m$  centered around  $v_F|q|$ ,

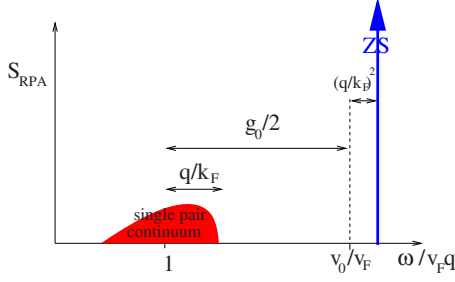


FIG. 4. (Color online) Schematic behavior of  $S_{\text{RPA}}(\omega, q)$  for fixed  $q$  as a function of  $\omega$  for  $q/k_F \ll g_0 \ll 1$ . In this regime, the distance between the upper edge of the single-pair particle-hole continuum and the position of the ZS peak (indicated by a thick arrow) is much larger than the width of the particle-hole continuum.

$$S_0(\omega, q) = \lim_{g_0 \rightarrow 0} S_{\text{RPA}}^{\text{inc}}(\omega, q) = \frac{m}{2\pi|q|} \Theta\left(\frac{q^2}{2m} - |\omega - v_F|q\right). \quad (3.10)$$

For finite  $g_0$ , the shape of  $S_{\text{RPA}}^{\text{inc}}(\omega, q)$  is modified as shown quantitatively in Fig. 1 of Ref. 12. The small shaded hump in Fig. 4 represents schematically the incoherent part of  $S_{\text{RPA}}(\omega, q)$  for finite  $g_0$ . For  $|q|/k_F \ll g_0$ , the relative weight of the single-pair continuum is negligibly small, so that the ZS peak carries most of the spectral weight. The relative contribution of the single-pair continuum to the  $f$ -sum rule vanishes as  $(q/g_0 k_F)^2 \ll 1$ .

It is instructive to see which features of  $S_{\text{RPA}}(\omega, q)$  are recovered if we expand the inverse noninteracting polarization  $\tilde{\Pi}_0^{-1}(Q)$  in powers of the inverse mass  $m^{-1}$ . To this end we introduce the dimensionless variables,

$$iy = \frac{i\omega}{v_F q}, \quad p = \frac{q}{2k_F}, \quad (3.11)$$

and rewrite Eq. (3.4) as

$$\Pi_0(i\omega, q) = v_0 \tilde{\Pi}_0(iy, p), \quad (3.12)$$

with the dimensionless function

$$\tilde{\Pi}_0(iy, p) = \frac{1}{2p} \ln \left| \frac{iy + 1 + p}{iy + 1 - p} \right| = \frac{1}{4p} \ln \left[ \frac{y^2 + (1+p)^2}{y^2 + (1-p)^2} \right]. \quad (3.13)$$

For an interaction with momentum-transfer cutoff  $q_0 \ll k_F$  the relevant dimensionless momenta satisfy  $|p| \ll 1$ , so that we expand  $\tilde{\Pi}_0^{-1}(iy, p)$  in powers of  $p$ . From Eq. (3.13) we find

$$\tilde{\Pi}_0^{-1}(iy, p) = 1 + y^2 - \frac{p^2}{3} \frac{1 - 3y^2}{1 + y^2} + O(p^4). \quad (3.14)$$

For later reference, we note that the correction of order  $p^2$  in Eq. (3.14) can be written as

$$-\frac{p^2}{3} \frac{1 - 3y^2}{1 + y^2} = p^2 - \frac{2p^2}{3} \left[ \frac{1}{1 - iy} + \frac{1}{1 + iy} \right]. \quad (3.15)$$

For  $p \rightarrow 0$  we recover the result for linearized dispersion,

$$\lim_{p \rightarrow 0} \tilde{\Pi}_0^{-1}(iy, p) \equiv \tilde{\Pi}_0^{-1}(iy) = 1 + y^2, \quad (3.16)$$

which yields the dynamic structure factor of the TLM given in Eq. (1.2). However, after analytic continuation to real frequencies  $iy \rightarrow x + i0 = \frac{\omega}{v_F q} + i0$ , the correction term of order  $p^2$  in expansion (3.14) is singular on the mass shell  $|\omega| = v_F |q|$ . Although in the noninteracting limit we know that this mass-shell singularity has been artificially generated by expanding the logarithm in Eq. (3.13), it is not clear how to regularize a similar singularity in the interacting system. Therefore, a formal expansion in powers of the band curvature  $1/m$  using either a purely fermionic approach<sup>7</sup> or conventional bosonization<sup>14</sup> is not reliable close to the mass shell after analytic continuation. In contrast, the functional bosonization approach contains the correct free polarization in the noninteracting limit.

It is instructive to examine the RPA dynamic structure factor if we nevertheless use expansion (3.14) for the noninteracting polarization. Then we obtain after analytic continuation  $iy \rightarrow x + i0 = \omega/(v_F q) + i0$  for small  $|q| \ll g_0 k_F$ ,

$$S_{\text{RPA}}(\omega, q) \approx \frac{v_0}{\pi} \text{Im} \left[ \frac{1}{g_0 + \tilde{\Pi}_0^{-1}(x + i0, p)} \right] = Z_q^+ \delta(\omega - \tilde{\omega}_q^+) + Z_q^- \delta(\omega - \tilde{\omega}_q^-), \quad (3.17)$$

where  $Z_q^+$  and  $\tilde{\omega}_q^+$  reduce for small  $q$  to the corresponding expressions  $Z_q$  and  $v_0 |q|$  for linear dispersion [see Eq. (1.4)], and the weight and dispersion of the other mode  $\tilde{\omega}_q^-$  are for  $|q| \ll k_F g_0$ ,

$$Z_q \approx \frac{2|q|}{3\pi} \left[ \frac{q}{2k_F g_0} \right]^2, \quad (3.18)$$

$$\tilde{\omega}_q^- \approx v_F |q| \left[ 1 - \frac{2}{3g_0} \left( \frac{q}{2k_F} \right)^2 \right]. \quad (3.19)$$

This peak is associated with the incoherent part  $S_{\text{RPA}}^{\text{inc}}(\omega, q)$  of the dynamic structure factor discussed above, which in approximation (3.14) is replaced by a single peak with the same weight. From Eqs. (3.18) and (1.4) one easily verifies that for  $|q| \ll g_0 k_F$  the relative weight of the peak associated with the incoherent part is indeed small,

$$\frac{Z_q^-}{Z_q^+} = \frac{4x_0}{3} \left[ \frac{q}{2k_F g_0} \right]^2 = \frac{4\pi^2 x_0 p_0^2}{3} \left[ \frac{v_F q}{f_0 q_0} \right]^2, \quad (3.20)$$

where we have used  $p_0 = q_0/(2k_F)$  [see Eq. (2.7)]. Hence, for  $|q| \ll g_0 k_F$  most of the weight of  $S_{\text{RPA}}(\omega, q)$  is carried by the ZS mode  $\tilde{\omega}_q^+ \approx v_0 |q|$ , so that the incoherent part corresponding to the mode  $\tilde{\omega}_q^- \approx v_F |q|$  can be neglected.<sup>12</sup> Note that the limits  $q \rightarrow 0$  and  $g_0 \rightarrow 0$  do not commute and that only for  $|q|/(2k_F) \ll g_0$  the weight of the mode  $\tilde{\omega}_q^-$  can be neglected.

Mathematically, the second peak in Eq. (3.17) is due to the pole arising from the term of order  $p^2$  in expansion (3.14) of the inverse free polarization. Although after analytic continuation  $iy \rightarrow x + i0$  this term is singular for  $x=1$ , we know from the exact result [Eq. (3.13)] how this singularity should be regularized: we simply should smooth out the corresponding  $\delta$ -function peak over an interval of width  $w_q \propto q^2/m$ . In

fact, we can self-consistently calculate  $w_q$  by noting that after analytic continuation the singular term in expansion (3.14) gives rise to the following formally infinite imaginary part of the inverse noninteracting polarization:

$$\text{Im } \tilde{\Pi}_0^{-1}(x+i0, p) = -\Gamma_0(x, p) = -\frac{2\pi}{3} p^2 [\delta(1-x) - \delta(1+x)]. \quad (3.21)$$

Ignoring the renormalization arising from the (singular) real part of  $\tilde{\Pi}_0^{-1}(x+i0, p)$  and approximating the resulting dynamic structure factor in this regime by a Lorentzian centered at  $\omega = v_F |q|$ , we find for the full width at half maximum in the limit  $g_0 \ll 1$ ,

$$w_q = \frac{v_F |q|}{2} \Gamma_0(1, p = q/(2k_F)). \quad (3.22)$$

To obtain a self-consistent estimate for  $w_q$  we follow Samokhin<sup>1</sup> and regularize the singularity in  $\Gamma_0(1, p)$  by replacing  $\delta(\omega=0)$  by the height of a normalized Lorentzian of width  $w_q$  on resonance,

$$\delta(x-1)|_{x=1} = v_F |q| \delta(\omega - v_F |q|)|_{\omega=v_F |q|} \rightarrow \frac{v_F |q|}{\pi w_q}. \quad (3.23)$$

Hence, our self-consistent regularization is

$$\Gamma_0(1, p) \rightarrow \frac{2p^2 v_F |q|}{3w_q}. \quad (3.24)$$

Substituting this into Eq. (3.22) we obtain the self-consistency equation,

$$w_q = \frac{1}{3} \left( \frac{q}{2k_F} \right)^2 \frac{(v_F q)^2}{w_q}, \quad (3.25)$$

which leads to the following estimate for the width of the single-pair particle-hole continuum:

$$w_q = \frac{1}{2\sqrt{3}} \frac{q^2}{m}. \quad (3.26)$$

It has recently been shown<sup>4,9,10</sup> that the shape of the single-pair continuum cannot be approximated by a Lorentzian, but the order of magnitude of its width obtained within the above regularization is correct for sufficiently small  $q$ . Hence, the mass-shell singularity arising after analytic continuation  $iy \rightarrow x+i0$  in the expansion of the inverse noninteracting polarization (3.14) in powers of  $p=q/(2k_F)$  is simply related to the single-pair particle-hole continuum. This singularity can be regularized by smearing out the  $\delta$  function in the imaginary part over a finite interval of width  $w_q \propto q^2/m$ . However, the width  $w_q$  should not be confused with the damping of the ZS mode, which remains sharp within RPA.

#### IV. FUNCTIONAL BOSONIZATION

In this section we review the functional bosonization approach<sup>25-27</sup> which we use in Sec. V to calculate the dy-

amic structure factor. In contrast to previous work, we keep track of Hartree corrections to the fermionic self-energy, since these corrections contribute to the renormalization of the ZS velocity.

Decoupling the density-density interaction in Eq. (2.1) by means of a real Hubbard-Stratonovich field  $\phi$ , the ratio of the partition functions with and without interaction can be written as

$$\frac{\mathcal{Z}}{\mathcal{Z}_0} = \frac{\int \mathcal{D}[\bar{c}, c, \phi] e^{-S_0[\bar{c}, c] - S_0[\phi] - S_1[\bar{c}, c, \phi]}}{\int \mathcal{D}[\bar{c}, c, \phi] e^{-S_0[\bar{c}, c] - S_0[\phi]}}, \quad (4.1)$$

where the free fermionic action  $S_0[\bar{c}, c]$  is given in Eq. (2.2), the free bosonic part is

$$S_0[\phi] = \frac{1}{2} \int_Q f_q^{-1} \phi_{-Q} \phi_Q, \quad (4.2)$$

and the Fermi-Bose interaction is

$$S_1[\bar{c}, c, \phi] = i \int_Q \int_K \bar{c}_{K+Q} c_K \phi_Q. \quad (4.3)$$

The fermionic part of the action in the numerator of Eq. (4.1) can be written as

$$S_0[\bar{c}, c] + S_1[\bar{c}, c, \phi] = - \int_K \int_{K'} \bar{c}_K [\mathbf{G}^{-1}]_{KK'} c_{K'}, \quad (4.4)$$

where the infinite matrix  $\mathbf{G}^{-1}$  is defined by

$$[\mathbf{G}^{-1}]_{KK'} = \delta_{KK'} [i\omega - \epsilon_k + \mu] - i\phi_{K-K'}. \quad (4.5)$$

At finite density, the field  $\phi_K$  has a nonzero expectation value,

$$\phi_Q = -i\delta_{Q,0} \bar{\phi} + \delta\phi_Q, \quad (4.6)$$

where  $\delta$  symbol is given by  $\delta_{Q,0} = \beta V \delta_{\bar{\omega},0} \delta_{q,0}$ , which reduces to  $(2\pi)^2 \delta(\bar{\omega}) \delta(q)$  for  $\beta \rightarrow \infty$  and  $V \rightarrow \infty$ . We fix the real constant  $\bar{\phi}$  from the requirement that the effective action  $S_{\text{eff}}[\phi]$  of the  $\phi$  field, which is obtained by integrating over the fermionic fields in Eq. (4.1), does not contain a term linear in the fluctuation  $\delta\phi_Q$ . To do this, we define the matrix  $\mathbf{G}_0^{-1}$  which includes the self-energy correction due to the vacuum expectation value  $\bar{\phi}$ ,

$$[\mathbf{G}_0^{-1}]_{KK'} = \delta_{K,K'} [i\omega - \epsilon_k - \bar{\phi} + \mu], \quad (4.7)$$

and write

$$\mathbf{G}^{-1} = \mathbf{G}_0^{-1} - \mathbf{V}, \quad (4.8)$$

with

$$[\mathbf{V}]_{KK'} = i\delta\phi_{K-K'}. \quad (4.9)$$

Integrating in Eq. (4.1) over the fermion fields, we obtain the formally exact expression,

$$\frac{\mathcal{Z}}{\mathcal{Z}_0} = e^{-\beta(\Omega_1 - \Omega_0)} \frac{\int \mathcal{D}[\delta\phi] e^{-S_{\text{eff}}[\delta\phi]}}{\int \mathcal{D}[\phi] e^{-S_0[\phi]}}, \quad (4.10)$$

where  $\Omega_1 - \Omega_0$  is the change in the grand canonical potential due to the vacuum expectation value ignoring fluctuations,

$$\Omega_1 - \Omega_0 = \frac{1}{\beta} \text{Tr} \ln[\mathbf{G}_0(\bar{\phi}) \mathbf{G}_0^{-1}(\bar{\phi} = 0)] - V \frac{\bar{\phi}^2}{2f_0}. \quad (4.11)$$

The effective action for the fluctuations of the bosonic field is

$$\begin{aligned} S_{\text{eff}}[\delta\phi] &= S_0[\phi_Q \rightarrow -i\delta_{Q,0}\bar{\phi} + \delta\phi_Q] \\ &\quad - \beta V \frac{\bar{\phi}^2}{2f_0} - \text{Tr} \ln[1 - \mathbf{G}_0 \mathbf{V}] \\ &= \frac{1}{2} \int_Q f_q^{-1} \delta\phi_{-Q} \delta\phi_Q - i f_0^{-1} \bar{\phi} \delta\phi_0 + \sum_{n=1}^{\infty} \frac{\text{Tr}[\mathbf{G}_0 \mathbf{V}]^n}{n}. \end{aligned} \quad (4.12)$$

We now fix the vacuum expectation value  $\bar{\phi}$  from the saddle-point condition

$$\frac{\partial \Omega_1}{\partial \bar{\phi}} = -V \frac{\bar{\phi}}{f_0} + V \rho_0 = 0 \quad (4.13)$$

or equivalently

$$\bar{\phi} = f_0 \rho_0. \quad (4.14)$$

Here,  $\rho_0$  is the density and  $G_0(K)$  the fermionic Green's function in self-consistent Hartree approximation, i.e.,

$$\rho_0 = \int_K G_0(K) = \frac{1}{V} \sum_k \Theta(\mu - \epsilon_k - f_0 \rho_0), \quad (4.15)$$

$$G_0(K) = \frac{1}{i\omega - \epsilon_k - f_0 \rho_0 + \mu}. \quad (4.16)$$

Note that Eq. (4.16) agrees with Eq. (3.2) if we take into account that within self-consistent Hartree approximation the Fermi momentum  $k_F$  is defined via

$$\frac{k_F^2}{2m} = \mu - f_0 \rho_0. \quad (4.17)$$

Equation (4.13) guarantees that the terms linear in the fluctuations  $\delta\phi_Q$  in Eq. (4.12) cancel, so that our final result for the effective action for the fluctuations of the Hubbard-Stratonovich field is

$$\begin{aligned} S_{\text{eff}}[\delta\phi] &= \frac{1}{2} \int_Q f_q^{-1} \delta\phi_{-Q} \delta\phi_Q + \sum_{n=2}^{\infty} \frac{\text{Tr}[\mathbf{G}_0 \mathbf{V}]^n}{n} \\ &= S_2[\delta\phi] + S_{\text{int}}[\delta\phi], \end{aligned} \quad (4.18)$$

with the Gaussian part given by

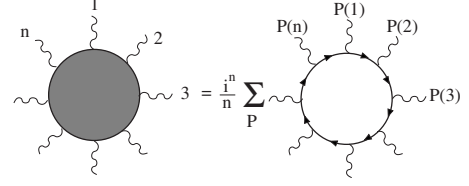


FIG. 5. Boson vertex with  $n$  external legs in the interaction part  $S_{\text{int}}[\delta\phi]$  of the bosonized effective action [see Eq. (4.20)]. The arrows denote the fermionic Green's functions  $G_0(K)$  within self-consistent Hartree approximation [see Eq. (4.16)]. The sum is taken over the  $n!$  permutations of the labels of the external legs. For linearized energy dispersion all symmetrized closed fermion loops with more than two external legs vanish.

$$S_2[\delta\phi] = \frac{1}{2} \int_Q [f_q^{-1} + \Pi_0(Q)] \delta\phi_{-Q} \delta\phi_Q \quad (4.19)$$

and the interaction part by

$$\begin{aligned} S_{\text{int}}[\delta\phi] &= \sum_{n=3}^{\infty} \frac{1}{n!} \int_{Q_1} \cdots \int_{Q_n} \delta_{Q_1 + \cdots + Q_n, 0} \\ &\quad \times \Gamma_0^{(n)}(Q_1, \dots, Q_n) \delta\phi_{Q_1} \cdots \delta\phi_{Q_n}. \end{aligned} \quad (4.20)$$

The vertices  $\Gamma_0^{(n)}(Q_1, \dots, Q_n)$  are proportional to the symmetrized closed fermion loops  $L_S^{(n)}(Q_1, \dots, Q_n)$  defined in Eqs. (A1) and (A2),

$$\Gamma_0^{(n)}(Q_1, \dots, Q_n) = i^n (n-1)! L_S^{(n)}(-Q_1, \dots, -Q_n). \quad (4.21)$$

A graphical representation of  $\Gamma_0^{(n)}(Q_1, \dots, Q_n)$  is shown in Fig. 5.

The irreducible polarization can now be obtained from the fluctuation propagator of the Hubbard-Stratonovich field,

$$\begin{aligned} \langle \delta\phi_Q \delta\phi_{Q'} \rangle &= \frac{\int \mathcal{D}[\delta\phi] e^{-S_{\text{eff}}[\delta\phi]} \delta\phi_Q \delta\phi_{Q'}}{\int \mathcal{D}[\delta\phi] e^{-S_{\text{eff}}[\delta\phi]}} \\ &= \delta_{Q+Q', 0} \frac{1}{f_q^{-1} + \Pi_*(Q)}, \end{aligned} \quad (4.22)$$

where the effective action  $S_{\text{eff}}[\delta\phi]$  is defined in Eq. (4.18). Within the Gaussian approximation this reduces to the RPA interaction,

$$\langle \delta\phi_Q \delta\phi_{Q'} \rangle_{S_2} = \delta_{Q+Q', 0} \frac{1}{f_q^{-1} + \Pi_0(Q)} \equiv \delta_{Q+Q', 0} f_{\text{RPA}}(Q). \quad (4.23)$$

The corrections to the RPA can now be calculated systematically in powers of the interaction  $S_{\text{int}}$  using the Wick theorem. The RPA interaction thereby plays the role of the Gaussian propagator, so that we naturally obtain an expansion in powers of the RPA interaction. In Appendix, we give explicit expressions for the symmetrized  $n$  loops of the FSM (Refs. 28–30) and show that  $L_S^{(n)} \propto \nu_0 / (m v_F^2)^{n-2} \tilde{L}_S^{(n)}$ , where



$\tilde{L}_S^{(n)}$  is dimensionless. Re-expressing all terms of the perturbation theory through the dimensionless quantities defined in Eqs. (3.11), (3.12), and (A11), it is straightforward to see that each bosonic loop contributes an integration of the form  $\int dy dp |p|$ . As the interactions are dominated by dimensionless momenta  $p < p_0$ , each loop integration is roughly proportional to  $p_0^2$ . An expansion in the number of bosonic loops thus creates a (formal) expansion in powers of the range of the interaction  $p_0 = q_0/2k_F$ . In the limit of vanishing interaction we recover the exact noninteracting polarization  $\Pi_0(i\omega, q)$  for quadratic energy dispersion. Our approach based on functional bosonization is therefore fundamentally different from conventional bosonization,<sup>1,7,14</sup> where the quadratic term in the energy dispersion gives rise to a cubic vertex proportional to  $1/m$  which has to be resummed to infinite order to recover the correct noninteracting polarization.

## V. CALCULATION OF $S(\omega, q)$ USING FUNCTIONAL BOSONIZATION

### A. One-loop self-consistency equation for $\Pi_*(Q)$

The diagrams contributing to  $\Pi_*(Q)$  up to second order in the RPA interaction are shown in Fig. 6. As shown above, individual terms in the perturbation expansion are proportional to  $p_0^{2l}$ , where  $l$  is the number of bosonic loops. Thus the two-loop diagram (d) in Fig. 2 is of higher order and will be neglected in our calculation up to order  $p_0^2$ . Evaluating the diagrams (a)–(c) in Fig. 6 we obtain the following expression for the irreducible polarization:

$$\begin{aligned} \Pi_*(Q) \approx & \Pi_0(Q) - \frac{1}{2} \int_{Q'} f_{\text{RPA}}(Q') \{ 6L_S^{(4)}(Q', -Q', Q, -Q) \\ & + 4f_{\text{RPA}}(0)L_S^{(3)}(Q, -Q, 0)L_S^{(3)}(Q', -Q', 0) \\ & + 4f_{\text{RPA}}(Q + Q')L_S^{(3)}(-Q, Q + Q', -Q') \\ & \times L_S^{(3)}(Q', -Q - Q', Q) \}. \end{aligned} \quad (5.1)$$

The properties of the symmetrized three and four loops appearing in this expression are discussed in detail in the Appendix.

It turns out, however, that in order to cure the unphysical features of the RPA discussed at the end of Sec. III (in particular, within RPA the energy scale  $v_F|q|$  of the single-pair continuum erroneously involves the bare Fermi velocity), we should self-consistently dress the Gaussian propagator  $f_{\text{RPA}}(Q)$  in Eq. (5.1) by self-energy corrections. Formally, this amounts to replacing the RPA interaction by the exact effective interaction,

$$f_{\text{RPA}}(Q) \rightarrow f_*(Q) = \frac{f_q}{1 + f_q \Pi_*(Q)}. \quad (5.2)$$

With this substitution, Eq. (5.1) becomes an integral equation for the irreducible polarization, which cannot be solved analytically. Fortunately, this problem can be simplified by noting that on the right-hand side it is not necessary to retain the full  $Q$  dependence of  $\Pi_*(Q)$  but to keep only those terms which contribute to the self-consistent renormalization of the

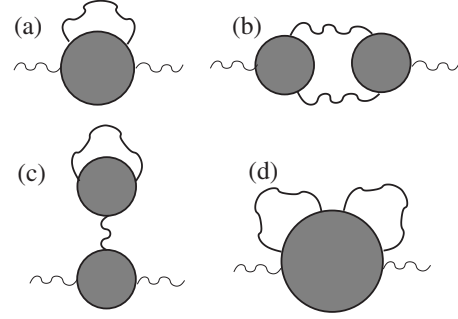


FIG. 6. Diagrams arising in the perturbative expansion of the irreducible polarization to second order in the RPA interaction. The shaded circles represent the vertices of  $S_{\text{eff}}[\delta\phi]$ , which are related to symmetrized closed fermion loops as defined in Fig. 5. Diagram (a) is equivalent to the three fermionic diagrams shown in Fig. 2. Diagram (b) is the so-called Aslamazov-Larkin diagram, while diagram (c) can be viewed as a higher-order self-energy correction which renormalizes the relation between density and chemical potential. Diagram (d) involving two bosonic loops and the symmetrized fermionic six loop is of fourth order in  $p_0 = q_0/(2k_F)$  and can be neglected to order  $p_0^2$ .

ZS velocity. To explain this, let us introduce again the dimensionless variables  $iy = i\omega/(v_F q)$  and  $p = q/(2k_F)$  and define the dimensionless irreducible polarization,

$$\Pi_*(i\omega, q) = \nu_0 \tilde{\Pi}_*(iy, p). \quad (5.3)$$

The corresponding dimensionless effective interaction is then

$$\tilde{f}_*(iy, p) = \frac{g_p}{1 + g_p \tilde{\Pi}_*(iy, p)}, \quad (5.4)$$

where  $g_p = \nu_0 f_{q=2pk_F}$  [see also Eqs. (3.11) and (3.12)]. The dynamic structure factor can then be written as

$$\begin{aligned} S(\omega, q) &= \frac{1}{\pi} \text{Im} \left[ \frac{1}{f_q + \Pi_*^{-1}(\omega + i0, q)} \right] \\ &= \frac{\nu_0}{\pi} \text{Im} \left[ \frac{1}{g_p + \tilde{\Pi}_*^{-1}(x + i0, p)} \right], \end{aligned} \quad (5.5)$$

where  $x = \omega/(v_F q)$ . For our purpose it is now sufficient to approximate the dimensionless inverse irreducible polarization by

$$\tilde{\Pi}_*^{-1}(iy, p) = Z_1 + Z_2 y^2, \quad (5.6)$$

where the dimensionless renormalization factors  $Z_1$  and  $Z_2$  should be determined as a function of the interaction such that approximation (5.6) yields the true ZS velocity  $v$ . Within RPA, where the nonlinear terms in the energy dispersion do not renormalize the ZS velocity, the irreducible polarization is approximated by the noninteracting one, so that  $Z_1 = Z_2 = 1$ . If we approximate the inverse polarization in Eq. (5.5) by Eq. (5.6) we obtain for  $\omega > 0$  and  $q \rightarrow 0$

$$S(\omega, q) \approx \frac{v_F |q|}{2\pi \nu Z_2} \delta(\omega - v|q|), \quad (5.7)$$

where the renormalized ZS velocity is

$$\frac{v}{v_F} = \sqrt{\frac{Z_1 + g_0}{Z_2}} \equiv x_0 \equiv \sqrt{1 + g}, \quad (5.8)$$

with renormalized coupling constant

$$g = \frac{g_0 + Z_1}{Z_2} - 1. \quad (5.9)$$

In order to avoid the unphysical splitting of the spectral weight in  $S(\omega, q)$  (as discussed at the end of Sec. III, this is an artifact of the RPA), it is crucial that the true ZS velocity  $v$  appears in the bosonic propagators. Therefore, a naive expansion in powers of the RPA interaction is not sufficient. However, we may further reduce the complexity of the calculation by noting that Eq. (5.7) still contains the correct velocity if we set  $Z_2 \rightarrow 1$  in the prefactor. Within this approximation, the velocity renormalization implied by Eq. (5.6) can be simply taken into account via a redefinition of the coupling constant,  $g_0 \rightarrow g$ . It is therefore sufficient to replace the RPA interaction in Eq. (5.1) by an effective interaction of the same form but with a renormalized effective coupling  $g$  instead of  $g_0$ , which should be chosen such that all interaction corrections to the ZS velocity are self-consistently taken into account. Note that Schönhammer<sup>13</sup> recently showed that within the so-called RPAE (which amounts to solving the Bethe-Salpeter equation with the bare interaction as irreducible vertex) the relative position of the collective-mode energy and the energy of the single-pair particle-hole continuum is different from the RPA prediction for the FSM: In RPAE the ZS mode lies above the noninteracting single-particle-hole continuum which (erroneously) appears in RPA, but below the Hartree-Fock particle-hole continuum. This suggests that in order to obtain a correct estimate of the ZS damping, it is necessary to calculate the location of the ZS energy self-consistently.

In field-theoretical language the constants  $Z_1$  and  $Z_2$  are counterterms which guarantee that our Gaussian propagator depends on the true ZS velocity. In Sec. VI we shall explicitly calculate the factors  $Z_1$  and  $Z_2$  and the corresponding renormalized ZS velocity  $v$  to second order in our small parameter  $p_0$ . A similar procedure is necessary to self-consistently calculate the true Fermi surface of an interacting Fermi system.<sup>36,37</sup> The expansion of the modified dimensionless interaction  $\tilde{g}_p$  for small  $p$  is then

$$\tilde{g}_p = g + \frac{1}{2}g_0''p^2 + O(p^4), \quad (5.10)$$

where

$$g_0'' = (2k_F)^2 v_0 f_0'' = \text{sgn } f_0'' \frac{2}{\pi p_c}. \quad (5.11)$$

In this approximation, our dimensionless effective interaction is

$$\tilde{f}_*(iy, p) \approx \tilde{f}_g(iy, p) = \frac{\tilde{g}_p}{1 + \tilde{g}_p \tilde{\Pi}_0(iy, p)}, \quad (5.12)$$

which differs from the RPA interaction because the function  $\tilde{g}_p$  includes the renormalization of the ZS velocity due to fluctuations beyond the RPA.

Collecting all terms, our final result for the dimensionless irreducible polarization to one bosonic loop can be written as

$$\tilde{\Pi}_*(iy, p) \approx \tilde{\Pi}_0(iy, p) + \tilde{\Pi}_1(iy, p) + \tilde{\Pi}_2(iy, p), \quad (5.13)$$

where the noninteracting polarization is given in Eq. (3.13), and the subscripts indicate the powers of  $\tilde{g}_p$ . The term  $\tilde{\Pi}_1(iy, p)$  corresponding to diagram (a) in Fig. 6 can be written as

$$\tilde{\Pi}_1(iy, p) = - \int_{-\infty}^{\infty} dp' |p'| \int_{-\infty}^{\infty} \frac{dy'}{2\pi} \tilde{f}_g(iy', p') \tilde{L}_S^{(4)}(iy, p, iy', p'), \quad (5.14)$$

where the dimensionless symmetrized four loop  $\tilde{L}_S^{(4)}(iy, p, iy', p')$  is defined in Eq. (A19). The term  $\tilde{\Pi}_2(iy, p)$  involving two powers of the effective interaction is of the form

$$\tilde{\Pi}_2(iy, p) = \tilde{\Pi}_2^{\text{AL}}(iy, p) + \tilde{\Pi}_2^{\text{H}}(iy, p), \quad (5.15)$$

where the contribution from the Aslamasov-Larkin (AL) diagram in Fig. 6(b) is

$$\begin{aligned} \tilde{\Pi}_2^{\text{AL}}(iy, p) = & - \int_{-\infty}^{\infty} dp' |p'| \int_{-\infty}^{\infty} \frac{dy'}{2\pi} \tilde{f}_g(iy', p') \\ & \times \tilde{f}_g\left(\frac{iy p + iy' p'}{p + p'}, p + p'\right) [\tilde{L}_S^{(3)}(iy, p, iy', p')]^2, \end{aligned} \quad (5.16)$$

and the contribution from the Hartree diagram in Fig. 6(c) can be written as

$$\begin{aligned} \tilde{\Pi}_2^{\text{H}}(iy, p) = & - \frac{g}{1 + g} \tilde{L}_S^{(3)}(iy, p, iy, -p) \int_{-\infty}^{\infty} dp' |p'| \int_{-\infty}^{\infty} \frac{dy'}{2\pi} \\ & \times \tilde{f}_g(iy', p') \tilde{L}_S^{(3)}(iy', p', iy', -p'). \end{aligned} \quad (5.17)$$

Here, the dimensionless symmetrized three loop  $\tilde{L}_S^{(3)}(iy, p, iy', p')$  is defined in Eq. (A13). The parameters  $Z_1$  and  $Z_2$  hidden in the effective interaction  $\tilde{f}_g(iy, p)$  should be determined self-consistently by evaluating Eqs. (5.13)–(5.17) and demanding that the resulting renormalized ZS velocity is consistent with the result obtained from Eq. (5.6).

## B. Approximation A: neglecting $1/m$ corrections to $\Pi_0(Q)$ in loop integrations

Equations (5.14)–(5.17) are still too complicated to admit an analytic evaluation. In order to explicitly calculate the dynamic structure factor without resorting to elaborate numerics, we shall further simplify the above expressions by making the following *approximation A*: We replace the non-

interacting polarization  $\Pi_0(Q)$  appearing in the effective interaction and the symmetrized closed fermion loops on the right-hand sides of Eqs. (5.14)–(5.17) by its asymptotic limit for small momenta given in Eq. (3.16). Keeping in mind that in one dimension the closed fermion loops with  $n > 2$  external legs can all be expressed in terms of  $\Pi_0(Q)$ , the symmetrized three and four loops are then approximated by Eqs. (A17) and (A23). For consistency, we should also expand the dimensionless free polarization  $\tilde{\Pi}_0(iy, p)$  on the right-hand side of Eq. (5.13) to second order in  $p$  [see Eq. (3.14)]. We shall argue below that above approximation A is *not* sufficient to calculate the line shape of the dynamic structure factor for momenta  $q \lesssim q_c = 1/(m|f'_0|)$  [see Eq. (2.9)] because in this regime the spectral line shape is dominated by the terms neglected in approximation A. On the other hand, for  $q \gtrsim q_c$  the line shape of  $S(\omega, q)$  is essentially determined by the quadratic term in the expansion of  $f_q$  for small  $q$ , so that in this regime approximation A is justified.

It turns out that with this simplification the  $y'$  integrations in Eqs. (5.14), (5.16), and (5.17) can be done analytically for general  $\tilde{g}_p$  using the method of residues. The form of Eq. (5.5) suggests that it is natural to expand the inverse irreducible polarization in powers of  $p$  and  $p_0$ . This procedure can

be formally justified within functional bosonization,<sup>12,27</sup> where the interaction corrections to the inverse irreducible polarization play the role of the self-energy corrections in the effective bosonized theory. Using Eqs. (3.14) and (5.14)–(5.17) we obtain to order  $p_0^2$ ,

$$\begin{aligned} \tilde{\Pi}_*^{-1}(iy, p) = & 1 + y^2 - \frac{p^2}{3} \frac{1 - 3y^2}{1 + y^2} - (1 + y^2)^2 \tilde{\Pi}_1(iy, p) \\ & - (1 + y^2)^2 \tilde{\Pi}_2(iy, p) + O(p_0^3), \end{aligned} \quad (5.18)$$

where  $p$  is assumed to be smaller than the dimensionless momentum-transfer cutoff  $p_0 = q_0/(2k_F)$ . It is convenient to introduce the notation

$$x_p = \sqrt{1 + \tilde{g}_p}, \quad (5.19a)$$

$$a_p = x_p + 1 = \sqrt{1 + \tilde{g}_p} + 1, \quad (5.19b)$$

$$b_p = x_p - 1 = \sqrt{1 + \tilde{g}_p} - 1, \quad (5.19c)$$

so that  $a_p b_p = \tilde{g}_p$ . The contribution involving the symmetrized four loop can then be written as

$$\begin{aligned} -(1 + y^2)^2 \tilde{\Pi}_1(iy, p) = & \text{Re} \int_0^\infty dp' \left\{ \frac{|p'| p'^4 F_1(iy, p') + p'^2 p^2 F_2(iy, p') + p^4 F_3(iy, p')}{x_{p'} [a_p^2 p'^2 - (1 + iy)^2 p^2] [b_p^2 p'^2 - (1 - iy)^2 p^2]} \right. \\ & \left. - p'^2 \tilde{g}_{p'} (1 + iy)^2 \left[ \frac{2p'}{p(1 - iy)} + 1 \right] \frac{|p + p'|}{x_{p'}^2 p'^2 - [(1 - iy)p + p']^2} + (p' \rightarrow -p') \right\}, \end{aligned} \quad (5.20)$$

with

$$\begin{aligned} F_1(iy, p) = & 4\tilde{g}_p(x_p + iy)^2 + \tilde{g}_p^2 \left[ \frac{8x_p}{1 - iy} - 4x_p - \tilde{g}_p \right. \\ & \left. - \left( 2 + x_p - \frac{\tilde{g}_p}{2} \right) (1 + y^2) \right], \end{aligned} \quad (5.21)$$

$$\begin{aligned} F_2(iy, p) = & \tilde{g}_p [-(1 + iy)^4 + \tilde{g}_p(2 - y^2 + y^4) - 4b_p iy(1 - y^2)] \\ & - 2b_p^2 x_p \frac{1 + iy}{1 - iy} (3 - 6y^2 - y^4), \end{aligned} \quad (5.22)$$

$$F_3(iy, p) = -4b_p^2(1 + y^2) \left[ 1 - \frac{1 + y^2}{2} - \frac{(1 + y^2)^2}{8} \right]. \quad (5.23)$$

Both functions  $F_1(iy, p)$  and  $F_2(iy, p)$  contain a singular term proportional to  $(1 - iy)^{-1}$ , which after analytic continuation give rise to a mass-shell singularity at the energies  $\pm v_F q$  associated with the bare Fermi velocity. Fortunately, these singularities cancel when Eq. (5.20) is combined with the corresponding contributions from the expansion of  $\tilde{\Pi}_0^{-1}(iy, p)$  in Eq. (5.18) and from the AL diagram given in

Eq. (5.30) below. To show this explicitly, it is useful to isolate the singular term in Eqs. (5.21) and (5.22) by setting

$$F_1(iy, p) = \frac{8\tilde{g}_p^2 x_p}{1 - iy} + \tilde{F}_1(iy, p), \quad (5.24)$$

$$F_2(iy, p) = -8b_p^2 x_p \frac{(1 + iy)^2}{1 - iy} + \tilde{F}_2(iy, p). \quad (5.25)$$

$\tilde{F}_1(iy, p)$  and  $\tilde{F}_2(iy, p)$  are now analytic functions of  $y$ ,

$$\begin{aligned} \tilde{F}_1(iy, p) = & 4\tilde{g}_p(x_p + iy)^2 \\ & - \tilde{g}_p^2 \left[ 4x_p + \tilde{g}_p + \left( 2 + x_p - \frac{\tilde{g}_p}{2} \right) (1 + y^2) \right], \end{aligned} \quad (5.26)$$

$$\begin{aligned} \tilde{F}_2(iy, p) = & \tilde{g}_p [-(1 + iy)^4 + \tilde{g}_p(2 - y^2 + y^4) + 4b_p iy(1 + 2iy \\ & + y^2)] + 2b_p^2(1 + iy)[x_p(1 + iy)(1 + y^2) - 4iy]. \end{aligned} \quad (5.27)$$

Equation (5.20) can then be written as

$$\begin{aligned}
 -(1+y^2)^2 \tilde{\Pi}_1(iy, p) = \text{Re} \int_0^\infty dp' \left\{ \frac{|p'| p'^4 \tilde{F}_1(iy, p') + p'^2 p^2 \tilde{F}_2(iy, p') + p^4 F_3(iy, p')}{x_{p'} [a_p^2 p'^2 - (1+iy)^2 p^2] [b_p^2 p'^2 - (1-iy)^2 p^2]} + \frac{8|p'|}{1-iy} + \frac{8|p'| p^2 (1-iy)}{b_p^2 p'^2 - (1-iy)^2 p^2} \right. \\
 \left. - p'^2 \tilde{g}_{p'} (1+iy)^2 \left[ \frac{2p'}{p(1-iy)} + 1 \right] \frac{|p'+p|}{x_{p'}^2 p'^2 - [p'+(1-iy)p]^2} + (p' \rightarrow -p') \right\}. \quad (5.28)
 \end{aligned}$$

Next, consider the contribution  $\tilde{\Pi}_2^{\text{AL}}(iy, p)$  from the Aslamasov-Larkin diagram in Eq. (5.16). Adopting again approximation A, the symmetrized three loop  $\tilde{L}_S^{(3)}(iy, p, iy', p')$  is replaced by its limit  $\tilde{L}_{S,0}^{(3)}(iy, iy', p/p')$  for  $1/m \rightarrow 0$  given in Eq. (A17). Then we obtain

$$\begin{aligned}
 -(1+y^2)^2 \tilde{\Pi}_2^{\text{AL}}(iy, p) = \int_{-\infty}^\infty dp' |p'| \tilde{g}_{p'} \tilde{g}_{p'+p} \int_{-\infty}^\infty \frac{dy'}{2\pi} \frac{\left[ 1 - yy' - (y+y') \frac{py+p'y'}{p+p'} \right]^2}{[1+y'^2][x_{p'}^2+y'^2] \left[ 1 + \left( \frac{py+p'y'}{p+p'} \right)^2 \right] \left[ x_{p'+p}^2 + \left( \frac{py+p'y'}{p+p'} \right)^2 \right]}. \quad (5.29)
 \end{aligned}$$

The  $y'$  integration can now be carried out using the method of residues. The result can be cast into the following form:

$$\begin{aligned}
 -(1+y^2)^2 \tilde{\Pi}_2^{\text{AL}}(iy, p) = \text{Re} \int_0^\infty dp' p' \frac{|p'+p|}{2} \left\{ \frac{\tilde{g}_{p'+p} |p'+p| [(p'+p)(1+2iyx_{p'}+x_p^2) - p(y^2+x_p^2)]^2}{x_{p'} [(p'+p)^2 - (x_{p'} p' + iy p)^2] [x_{p'+p}^2 (p'+p)^2 - (x_{p'} p' + iy p)^2]} \right. \\
 + \frac{\tilde{g}_{p'} p' [p'(1+2iyx_{p'+p}+x_{p'+p}^2) + p(y^2+x_{p'+p}^2)]^2}{x_{p'+p} [p'^2 - (x_{p'+p}(p'+p) - iy p)^2] [x_{p'}^2 p'^2 - (x_{p'+p}(p'+p) - iy p)^2]} \\
 \left. - \left[ \frac{2(p'+p)}{p(1-iy)} - 1 \right] \frac{\tilde{g}_{p'+p} |p'+p| (1+iy)^2}{x_{p'+p}^2 (p'+p)^2 - [p'+p - (1-iy)p]^2} + \left[ \frac{2p'}{p(1-iy)} + 1 \right] \frac{\tilde{g}_{p'} p' (1+iy)^2}{x_{p'}^2 p'^2 - [p'+(1-iy)p]^2} \right\} \\
 + (p \rightarrow -p). \quad (5.30)
 \end{aligned}$$

Finally, contribution (5.17) of the Hartree-type of diagram (c) in Fig. 6 is<sup>38</sup>

$$-(1+y^2)^2 \tilde{\Pi}_2^{\text{H}}(iy, p) = I_H (1-y^2), \quad (5.31)$$

with

$$\begin{aligned}
 I_H = -\frac{2g}{1+g} \int_0^\infty dp p \left[ \frac{1 + \frac{\tilde{g}_p}{2}}{\sqrt{1+\tilde{g}_p}} - 1 \right] \\
 = -\frac{g}{1+g} \int_0^\infty dp p \frac{(x_p - 1)^2}{x_p}. \quad (5.32)
 \end{aligned}$$

For  $\Theta$ -function cutoff this reduces to

$$I_H = -\frac{p_0^2 g}{1+g} \left[ \frac{1 + \frac{g}{2}}{\sqrt{1+g}} - 1 \right], \quad (5.33)$$

while for Lorentzian cutoff,

$$I_H = -\frac{p_0^2 g}{1+g} \left[ 1 + \frac{g}{2} - \sqrt{1+g} \right]. \quad (5.34)$$

Combining all terms we obtain the following expansion of the inverse irreducible polarization to second order in  $p_0^2$ :

$$\begin{aligned}
 \tilde{\Pi}_*^{-1}(iy, p) = 1 + y^2 + p^2 - \frac{2p^2}{3} \left[ \frac{1}{1-iy} + \frac{1}{1+iy} \right] + I_H (1-y^2) \\
 + I(iy, p) + O(p_0^3), \quad (5.35)
 \end{aligned}$$

where we have used Eqs. (3.14) and (3.15) to clearly exhibit the mass-shell singularity generated by the expansion of the inverse free polarization. The dimensionless integral  $I(iy, p)$  can be written as

$$I(iy, p) = \frac{1}{2} \int_0^\infty dp' p' [J(iy, p, p') + J(-iy, p, p')], \quad (5.36)$$

where the complex function  $J(iy, p, p')$  is given by

$$\begin{aligned}
J(iy, p, p') = & \frac{p'^4 \tilde{F}_1(iy, p') + p'^2 p^2 \tilde{F}_2(iy, p') + p^4 F_3(iy, p')}{x_{p'} [a_{p'}^2 p'^2 - (1 + iy)^2 p^2] [b_{p'}^2 p'^2 - (1 - iy)^2 p^2]} + \frac{8}{1 - iy} + \frac{8p^2(1 - iy)}{b_{p'}^2 p'^2 - (1 - iy)^2 p^2} \\
& + \frac{|p' + p|}{2} \left\{ \frac{\tilde{g}_{p'+p} |p' + p| [(p' + p)(1 + 2iyx_{p'} + x_{p'}^2) - p(y^2 + x_{p'}^2)]^2}{x_{p'} [(p' + p)^2 - (x_{p'} p' + iy p)^2] [x_{p'+p}^2 (p' + p)^2 - (x_{p'} p' + iy p)^2]} \right. \\
& + \frac{\tilde{g}_{p'} p' [p'(1 + 2iyx_{p'+p} + x_{p'+p}^2) + p(y^2 + x_{p'+p}^2)]^2}{x_{p'+p} [p'^2 - (x_{p'+p}(p' + p) - iy p)^2] [x_{p'}^2 p'^2 - (x_{p'+p}(p' + p) - iy p)^2]} \\
& \left. - \left[ \frac{2(p' + p)}{p(1 - iy)} - 1 \right] \frac{\tilde{g}_{p'+p} |p' + p| (1 + iy)^2}{x_{p'+p}^2 (p' + p)^2 - [p' + p - (1 - iy)p]^2} - \left[ \frac{2p'}{p(1 - iy)} + 1 \right] \frac{\tilde{g}_{p'} p' (1 + iy)^2}{x_{p'}^2 p'^2 - [p' + (1 - iy)p]^2} \right\} \\
& + (p \rightarrow -p). \tag{5.37}
\end{aligned}$$

Although it is not obvious from Eq. (5.37), the function  $J(iy, p, p')$  vanishes as  $\tilde{g}_{p'}$  for  $p' \gg p_0$ , so that integral (5.36) is ultraviolet convergent as long as  $\tilde{g}_p$  vanishes faster than  $1/p$  for  $p \rightarrow \infty$ .

### C. Cancellation of the mass-shell singularities at $\omega = \pm v_F q$

We now show that the mass-shell singularities at  $iy \rightarrow x = \pm 1$  (corresponding to frequencies  $\omega = \pm v_F q$ ) arising from the expansion of the noninteracting polarization in Eq. (5.35) are *exactly cancelled* by corresponding singularities in  $I(x, p)$  because for  $x \rightarrow \pm 1$  the integral  $I(x, p)$  diverges as

$$I(x, p) \sim \frac{2p^2}{3} \frac{1}{1 \mp x}, \quad x \rightarrow \pm 1. \tag{5.38}$$

To proof this, it is sufficient to calculate the residues

$$\begin{aligned}
R_{\pm}(p) &= \lim_{x \rightarrow \pm 1} [(1 \mp x) I(x, p)] \\
&= \frac{1}{2} \int_0^{\infty} dp' p' \lim_{x \rightarrow \pm 1} [(1 \mp x) J(\pm x, p, p')]. \tag{5.39}
\end{aligned}$$

Using  $x_p^2 - 1 = \tilde{g}_p$  we find from Eq. (5.37),

$$\begin{aligned}
\lim_{x \rightarrow \pm 1} [(1 \mp x) J(\pm x, p, p')] &= 8 - 4 \frac{|p' + p| - |p' - p|}{p} \\
&= 8\Theta(|p| - p')(1 - p'/|p|). \tag{5.40}
\end{aligned}$$

Hence,

$$R_{\pm}(p) = 4 \int_0^{|p|} dp' p' (1 - p'/|p|) = \frac{2p^2}{3}, \tag{5.41}$$

which proofs Eq. (5.38). We conclude that expansion (5.35) of the inverse irreducible polarization to second order in  $p_0^2$  does not exhibit any mass-shell singularities at frequencies  $\omega = \pm v_F q$  corresponding to the excitation energy of nonin-

teracting particle-hole pairs. This cancellation also corrects the unphysical feature of the RPA that the single-pair particle-hole continuum is centered at the energy  $v_F |q|$  involving the bare Fermi velocity  $v_F$ .

It is convenient to explicitly cancel the mass-shell singularities arising from the expansion of the free polarization in Eq. (5.35) against the corresponding singularities in  $I(iy, p)$ . Therefore we use the identity

$$\begin{aligned}
\frac{2p^2}{3} \left[ \frac{1}{1 - iy} + \frac{1}{1 + iy} \right] &= \frac{1}{2} \int_0^{\infty} dp' p' [J_0(iy, p, p') \\
&+ J_0(-iy, p, p')], \tag{5.42}
\end{aligned}$$

where

$$J_0(iy, p, p') = \frac{8}{1 - iy} \left[ 1 - \frac{p' + p + |p' + p|}{2p} + (p \rightarrow -p) \right], \tag{5.43}$$

to write Eq. (5.35) as follows:

$$\tilde{\Pi}_{*}^{-1}(iy, p) = 1 + y^2 + p^2 + I_H(1 - y^2) + \tilde{I}(iy, p) + O(p_0^3). \tag{5.44}$$

The integral  $\tilde{I}(iy, p)$  can again be written as

$$\tilde{I}(iy, p) = \frac{1}{2} \int_0^{\infty} dp' p' [\tilde{J}(iy, p, p') + \tilde{J}(-iy, p, p')], \tag{5.45}$$

with

$$\tilde{J}(iy, p, p') = J(iy, p, p') - J_0(iy, p, p'). \tag{5.46}$$

We may now explicitly cancel the mass-shell singularities in the regularized integrand  $\tilde{J}(iy, p, p')$  and obtain after some algebra,

$$\begin{aligned}
\tilde{J}(iy, p, p') = & \frac{p'^4 \tilde{F}_1(iy, p') + p'^2 p^2 \tilde{F}_2(iy, p') + p^4 F_3(iy, p')}{x_{p'} [a_p^2 p'^2 - (1+iy)^2 p^2] [b_p^2 p'^2 - (1-iy)^2 p^2]} + \frac{8p^2(1-iy)}{b_p^2 p'^2 - (1-iy)^2 p^2} \\
& + \frac{\tilde{g}_{p'+p} (p'+p)^2 [(p'+p)(1+2iyx_{p'} + x_{p'}^2) - p(y^2 + x_{p'}^2)]^2}{2x_{p'} [(p'+p)^2 - (x_{p'} p' + iy p)^2] [x_{p'+p}^2 (p'+p)^2 - (x_{p'} p' + iy p)^2]} \\
& + \frac{\tilde{g}_{p'} |p'+p| p' [p'(1+2iyx_{p'+p} + x_{p'+p}^2) + p(y^2 + x_{p'+p}^2)]^2}{2x_{p'+p} [p'^2 - (x_{p'+p} p' + iy p)^2] [x_{p'}^2 p'^2 - (x_{p'+p} p' + iy p)^2]} \\
& + \frac{(p'+p) \left[ 8(p'+p) - 4(1-iy)p + \tilde{g}_{p'+p} (p'+p) \left[ \frac{p'+p}{p} (3+iy) + \frac{1}{2} (1+iy)^2 \right] \right]}{x_{p'+p}^2 (p'+p)^2 - [p'+p - (1-iy)p]^2} \\
& + \frac{|p'+p| \left[ -8p' - 4(1-iy)p + \tilde{g}_{p'} p' \left[ \frac{p'}{p} (3+iy) - \frac{1}{2} (1+iy)^2 \right] \right]}{x_{p'}^2 p'^2 - [p' + (1-iy)p]^2} + (p \rightarrow -p). \tag{5.47}
\end{aligned}$$

## VI. INTERACTION WITH SHARP MOMENTUM-TRANSFER CUTOFF

### A. Explicit evaluation of the irreducible polarization

In this section we assume that the dimensionless interaction  $g_p$  is of the form

$$g_p = g_0 \Theta(p_0 - |p|). \tag{6.1}$$

In this case the  $p'$  integration in Eq. (5.45) is elementary and can be carried out exactly. Note that all derivatives of interaction (6.1) vanish at  $p=0$  so that  $f_0''=0$ , which is certainly an unphysical feature of the  $\Theta$ -function cutoff. The inverse length  $q_c$  defined in Eq. (2.9) is then formally infinite, so that regime (2.10) does not exist. Although for such an interaction approximation A discussed in Sec. V B (i.e., replacing  $\tilde{\Pi}_0(iy, p) \approx \tilde{\Pi}_0(iy, 0) = [1+y^2]^{-1}$  in loop integrations) is never justified, it is still instructive to evaluate Eq. (5.44) because it allows us to explicitly see the partial cancellation between contributions arising from the first-order diagram in Fig. 6(a) and the AL diagram in Fig. 6(b). To clearly exhibit this cancellation, it is instructive to evaluate the contributions

$\tilde{\Pi}_1(iy, p)$  (first order in the effective interaction) and  $\tilde{\Pi}_2(iy, p)$  (second order in the effective interaction) separately. Therefore, we specify  $\tilde{g}_p = g \Theta(p_0 - |p|)$  in Eqs. (5.36) and (5.37) and perform the  $p'$  integration exactly. Recall that the effective coupling constant  $g$  is defined as a function of the bare coupling  $g_0$  via Eq. (5.9). The  $p \rightarrow 0$  limits of the coefficients  $x_p$ ,  $a_p$ , and  $b_p$  given in Eqs. (5.19a), (5.19b), and (5.19c) are now denoted by

$$x_0 = \sqrt{1+g}, \tag{6.2a}$$

$$a = x_0 + 1, \tag{6.2b}$$

$$b = x_0 - 1. \tag{6.2c}$$

Note that for small  $g$ ,

$$b = a - 2 = \frac{g}{2} - \frac{g^2}{8} + \frac{g^3}{16} + O(g^4). \tag{6.3}$$

After some tedious algebra we find that the contribution from the diagram (a) in Fig. 6 to expansion (5.18) can be written as

$$\begin{aligned}
-(1+y^2)^2 \tilde{\Pi}_1(iy, p) = & -p_0^2 \frac{b^2(3+x_0)}{2ax_0} (2+g-\Delta) + p^2 \left\{ \frac{2}{3} \frac{1-3y^2}{1+y^2} + \frac{(2+g)}{g} (4-g) - \frac{4\Delta}{g^2} \left[ 4+g - \frac{g^2}{4} \right] \right. \\
& \left. + \frac{g-\Delta}{x_0} \operatorname{Re} \left[ -\frac{b^2}{a^3} (1-iy)(x_0-iy) \ln \left( \frac{p_0^2 a^2 - p^2 (1+iy)^2}{p^2 (1+iy)(x_0-iy)} \right) + \frac{a^2}{b^3} (1-iy)(x_0+iy) \ln \left( \frac{1+iy}{x_0+iy} \right) \right] \right\}, \tag{6.4}
\end{aligned}$$

where we have defined

$$\Delta = 1 + g + y^2 = x_0^2 + y^2. \tag{6.5}$$

If we neglect at this point the contribution  $\tilde{\Pi}_2(iy, p)$  involving two powers of the effective interaction, we recover from the imaginary part of Eq. (6.4) our previous estimate<sup>12</sup> for the damping of the ZS mode for  $q \rightarrow 0$ ,

$$\gamma_q \approx \frac{\pi}{8} \frac{g^3}{x_0 a^4 v_F m^2} |q|^3. \quad (6.6)$$

In view of the discussion at the end of Sec. III this result should not be surprising: within our approximation the ZS mode is located at higher energy than the single-pair continuum and is immersed in the multipair continuum, whose spectral weight is generated by the logarithmic terms in Eq. (6.4). The overlap of the multipair continuum with the ZS mode leads to the  $q^3$  damping, in agreement with the arguments by Teber.<sup>7</sup>

Unfortunately, the term in Eq. (6.4) which is responsible for result (6.6) is exactly cancelled by a similar term in  $-(1+y^2)\tilde{\Pi}_2^{\text{AL}}(iy, p)$ . Explicitly carrying out the  $p'$  integration in Eq. (5.30) and adding contribution (5.31) from the Hartree-type of term, we obtain for  $|p| < p_0$

$$\begin{aligned} -(1+y^2)^2 \tilde{\Pi}_2^{\text{AL}}(iy, p) = & p_0^2 \frac{b^2}{2x_0^3} g(2+g-\Delta) + p_0(p_0-|p|) \frac{b^2}{ax_0^3} \left[ g(2+g) - b \left( 1 + \frac{g}{4} \right) - \Delta x_0^2 \right] \\ & + p^2 \left\{ -\frac{1-3y^2}{3(1+y^2)} + \frac{g}{2x_0} - \frac{(2+g)}{2g} \left[ 4-g + \frac{4}{x_0} \right] + \frac{2\Delta}{g^2} \left[ 4+g - \frac{g^2}{4} + \frac{3g^2}{4x_0} + x_0(4-g) \right] \right. \\ & - \frac{(4+g)^2 + 8g(2+g-\Delta)}{12x_0\Delta} + \frac{g^2\Delta}{16x_0^5} \ln \left( \frac{4p_0(p_0-|p|x_0^2 + p^2\Delta)}{p^2\Delta} \right) \\ & + \frac{g-\Delta}{x_0} \text{Re} \left[ \frac{b^2}{a^3} (1-iy)(x_0-iy) \ln \left( \frac{p_0(p_0-|p|)a^2 + p^2(1+iy)(x_0-iy)}{p^2(1+iy)(x_0-iy)} \right) \right. \\ & \left. \left. - \frac{a^2}{b^3} (1-iy)(x_0+iy) \ln \left( \frac{1+iy}{x_0+iy} \right) \right] \right\}. \quad (6.7) \end{aligned}$$

Adding Eqs. (6.4) and (6.7) and rearranging terms, we obtain for expansion (5.18) of the inverse irreducible polarization for sharp momentum-transfer cutoff

$$\begin{aligned} \tilde{\Pi}_*^{-1}(iy, p) = & 1 + p_0^2 g_1 + (1 + p_0^2 g_2) y^2 + p_0 |p| [g_3 + g_4 y^2] + \frac{p^2}{2} \left\{ \frac{4g}{3x_0} - 2 + \frac{b}{gx_0} [8 + 4g - g^2] + \frac{\Delta}{g^2} [16b - 4ga + g^2(1 + 3/x_0)] \right. \\ & \left. - \frac{(4+3g)^2}{6x_0\Delta} + \frac{g^2\Delta}{8x_0^5} \ln \left( \frac{4p_0(p_0-|p|x_0^2 + p^2\Delta)}{p^2\Delta} \right) - (1+y^2) \frac{b^2}{a^3 x_0} 2 \text{Re} \left[ (1-iy)(x_0-iy) \ln \left( \frac{p_0 a - |p|(x_0-iy)}{p_0 a + |p|(1+iy)} \right) \right] \right\}, \quad (6.8) \end{aligned}$$

where

$$g_1 = -\frac{b^2}{2x_0^3} \left[ 3 + \frac{g x_0 + 3}{2x_0 + 1} \right] = -\frac{3}{8} g^2 + \frac{5}{8} g^3 + O(g^4), \quad (6.9a)$$

$$g_2 = \frac{b^2}{2x_0^3} = \frac{1}{8} g^2 - \frac{1}{4} g^3 + O(g^4), \quad (6.9b)$$

$$g_3 = \frac{b^2}{ax_0^3} \left[ x_0 + \frac{g}{4} b \right] = \frac{1}{8} g^2 - \frac{7}{32} g^3 + O(g^4), \quad (6.9c)$$

$$g_4 = \frac{b^2}{ax_0} = \frac{1}{8} g^2 - \frac{5}{32} g^3 + O(g^4). \quad (6.9d)$$

Equation (6.8) has three important properties: (i) The logarithmic term in Eq. (6.4) which is responsible for the  $q^3$  dependence of  $\gamma_q$  in Eq. (6.6) is exactly cancelled by a similar term with opposite sign arising from the AL diagram. (ii)

The mass-shell singularity at  $\omega = \pm v_F q$  associated with the expansion of the free polarization  $\Pi_0(\omega, q)$  in Eq. (5.35) has disappeared in Eq. (6.8), in agreement with our general considerations in Sec. V C. (iii) Equation (6.8) contains a term proportional to  $1/\Delta$ , which after analytic continuation gives rise to a mass-shell singularity at the physical energy  $\omega = \pm vq$  of the ZS mode.

The mass-shell singularity at  $\omega = \pm vq$  is an artifact of the sharp momentum-transfer cutoff used in this section in combination with approximation A discussed in Sec. V B. In fact, we shall show in Sec. VII that a more realistic interaction  $f_q$  with finite  $f'_0$  does not lead to any mass-shell singularities, even if we still use approximation A to evaluate Eqs. (5.14)–(5.17).

## B. Renormalized ZS velocity

To calculate the renormalized ZS velocity it is sufficient to set  $p=0$  in Eq. (6.8), so that the problems related to the mass-shell singularity do not arise. Comparing Eq. (6.8) at

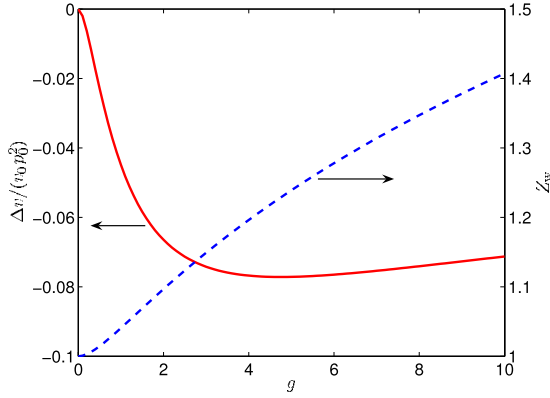


FIG. 7. (Color online) Solid line: relative renormalization  $\Delta v/(v_0 p_0^2) \equiv (v-v_0)/(v_0 p_0^2) = -g_5/(2x_0^2)$  of the ZS velocity in units of  $p_0^2$  as a function of the interaction strength  $g$  [see Eq. (6.15)]. Dashed line: graph of the factor  $Z_w$  defined in Eq. (6.20), which estimates the interaction-induced relative change in the width of ZS resonance for  $q \ll q_c$  [see Eqs. (6.19) and (6.20)].

$p=0$  with the defining Eq. (5.6) of the renormalization constants  $Z_1$  and  $Z_2$ , we find to order  $p_0^2$

$$Z_i = 1 + p_0^2 g_i, \quad i = 1, 2, \quad (6.10)$$

which are nonlinear self-consistency equations for  $Z_1$  and  $Z_2$  because  $g_1$  and  $g_2$  are defined in terms of the renormalized coupling  $g = (g_0 + Z_1 - Z_2)/Z_2$  [see Eq. (5.9)]. However, keeping in mind that the difference  $g - g_0$  is proportional to  $p_0^2$  and that Eq. (6.10) is only valid to order  $p_0^2$ , we may ignore the self-consistency condition and set  $Z_1 = Z_2 = 1$  in the expressions for  $g_1$  and  $g_2$  on the right-hand side of Eq. (6.10). From Eq. (5.8) we then obtain for the renormalized ZS velocity

$$\frac{v}{v_F} = \sqrt{\frac{Z_1 + g_0}{Z_2}} = \sqrt{1 + g}, \quad (6.11)$$

where

$$g = g_0 - p_0^2 g_5, \quad (6.12)$$

with

$$g_5 = x_0^2 g_2 - g_1 = \frac{b^2}{x_0^3} \left[ 2 + \frac{g}{4} \left( 3 + \frac{2}{a} \right) \right] = \frac{1}{2} g^2 - \frac{3}{4} g^3 + O(g^4). \quad (6.13)$$

To order  $p_0^2$  we thus obtain for the energy of the ZS mode

$$\omega_q \approx v|q|, \quad (6.14)$$

with renormalized ZS velocity,

$$v = v_F \sqrt{1 + g_0 - p_0^2 g_5} = v_0 \left[ 1 - p_0^2 \frac{g_5}{2x_0^2} + O(p_0^4) \right], \quad (6.15)$$

where  $v_0 = v_F \sqrt{1 + g_0}$  is the RPA result for the ZS velocity. A graph of the relative change in the ZS velocity as a function of the interaction strength  $g$  is shown in Fig. 7 (solid line). Obviously, even for large  $g$  and  $p_0^2 = O(1)$  the correction to the RPA result  $v_0$  never exceeds more than a few percent.

### C. Ad hoc regularization of the mass-shell singularity and spectral line shape

Although for sharp momentum-transfer cutoff the dynamic structure factor exhibits (within approximation A discussed in Sec. V B) a mass-shell singularity at the ZS energy  $v|q|$ , it is nevertheless instructive to follow Samokhin<sup>1</sup> and regularize the singularity by hand using the procedure outlined in Sec. III. Because the natural scale for the momentum dependence is not  $2k_F$  but the scale  $q_0$  set by the momentum-transfer cutoff, it is convenient to express the momentum dependence via  $\tilde{q} = q/q_0$ . Setting  $p = p_0 \tilde{q}$  and writing

$$S(\omega, q) = \frac{v_0}{\pi} \text{Im} \left[ \frac{1}{g_0 + \tilde{\Pi}_*^{-1}(x + i0, \tilde{q})} \right], \quad (6.16)$$

we obtain on the imaginary frequency axis

$$\begin{aligned} & g_0 + \tilde{\Pi}_*^{-1}(iy, \tilde{q}) \\ &= \Delta [1 + p_0^2 (g_2 + g_4 |\tilde{q}|)] - p_0^2 g_6 |\tilde{q}| \\ &+ p_0^2 \tilde{q}^2 \left\{ h_0 - \frac{h_1}{\Delta} + \Delta \left[ g_7 + g_8 \ln \left( 1 + \frac{4x_0^2 (1 - |\tilde{q}|)}{\tilde{q}^2 \Delta} \right) \right] \right. \\ &+ (g - \Delta) \frac{b^2}{a^3 x_0} \text{Re} \left[ (1 - iy)(x_0 - iy) \right. \\ &\left. \left. \times \ln \left( \frac{a - |\tilde{q}|(x_0 - iy)}{a + |\tilde{q}|(1 + iy)} \right) \right] \right\}, \end{aligned} \quad (6.17)$$

where

$$g_6 = x_0^2 g_4 - g_3 = \frac{b^2}{ax_0^3} g \left[ 2 + g - \frac{b}{g} \left( 1 + \frac{g}{4} \right) \right] = \frac{3}{16} g^3 + O(g^4), \quad (6.18a)$$

$$g_7 = \frac{1}{2} + \frac{3}{2x_0} - \frac{8}{g} \left( \frac{a}{4} - \frac{b}{g} \right) = \frac{1}{8} g^2 - \frac{11}{64} g^3 + O(g^4), \quad (6.18b)$$

$$g_8 = \frac{g^2}{16x_0^5} = \frac{1}{16} g^2 - \frac{5}{32} g^3 + O(g^4), \quad (6.18c)$$

$$h_0 = -1 + \frac{2g}{3x_0} + \frac{b}{2gx_0} [8 + 4g - g^2] = 1 + \frac{1}{6} g - \frac{1}{12} g^2 + O(g^3), \quad (6.18d)$$

$$h_1 = \frac{(1 + 3x_0^2)^2}{12x_0} = \frac{(4 + 3g)^2}{12x_0} = \frac{4}{3} + \frac{4}{3} g + \frac{1}{4} g^2 + O(g^3). \quad (6.18e)$$

From Eq. (6.17) it is obvious that our functional bosonization approach yields a systematic expansion of the inverse irreducible polarization in powers of the small parameter  $p_0 = q_0/(2k_F)$ . Note that only  $h_0$  and  $h_1$  have finite limits for  $g \rightarrow 0$ , whereas the other couplings  $g_1, \dots, g_8$  vanish at least as  $g^2$  (the coupling  $g_6$  vanishes even as  $g^3$ ).



In the limit  $g \rightarrow 0$  Eq. (6.17) correctly reduces to the expansion of the noninteracting inverse polarization given in Eq. (3.14). However, the term  $h_1/\Delta$  generates a mass-shell singularity at the true collective-mode energy  $\omega = \pm vq$ . Fortunately, this singularity can be avoided if we use a more physical interaction whose Fourier transform  $f_q$  is analytic for small  $q$ , as will be shown explicitly in Sec. VII. Here we shall simply regularize the mass-shell singularity by hand using the self-consistent regularization procedure proposed by Samokhin,<sup>1</sup> which we have already described in detail in Sec. III. Repeating the steps leading from Eq. (3.21) to Eq. (3.26), we obtain from the self-consistent regularization of the singular term proportional to  $h_1/\Delta$  in Eq. (6.17) the following estimate for the width of the ZS mode:

$$w_q = \frac{\sqrt{h_1} q^2}{2x_0 2m} = Z_w \frac{q^2}{2\sqrt{3m}}, \quad (6.19)$$

where we have factored out the corresponding estimate in the absence of interactions given in Eq. (3.26), and the dimensionless factor  $Z_w$  is given by

$$Z_w = \sqrt{\frac{3h_1}{4x_0^2}} = \frac{1 + \frac{3}{4}g}{[1 + g]^{3/4}}. \quad (6.20)$$

Note that  $Z_w \sim 1 + \frac{3}{32}g^2 + O(g^3)$  for  $g \rightarrow 0$  and  $Z_w \sim \frac{3}{4}g^{1/4}$  for  $g \rightarrow \infty$ . A graph of  $Z_w$  as a function of the interaction strength  $g$  is shown in Fig. 7 (dashed line). The estimate [Eq. (6.19)] for the width of the ZS resonance on the frequency axis scales as  $q^2$ , which is for small  $q$  much larger than our previous estimate [Eq. (6.6)] based on the evaluation of only the first-order diagram (a) in Fig. 2. The  $q^2$  scaling of the width of the ZS resonance has already been found by Samokhin<sup>1</sup> and has been confirmed later in Refs. 3, 4, and 9. However, the derivation of Eq. (6.19) is based on a rather *ad hoc* regularization prescription of the mass-shell singularity in Eq. (6.17), which ignores in particular the divergent real part of the term  $h_1/\Delta$ . Let us nevertheless proceed and calculate the corresponding dynamic structure factor, which can be obtained by replacing the term  $h_1/\Delta = h_1/(x_0^2 + y^2)$  on the right-hand side of Eq. (6.17) by

$$\frac{h_1}{\Delta} \rightarrow \frac{h_1}{x_0^2 - \frac{(\omega + iw_q)^2}{(v_F q)^2}}. \quad (6.21)$$

The finite imaginary part  $w_q$  in this expression is a rough estimate of the modification of the spectral line shape due to the terms which have been neglected by making approximation A discussed in Sec. V B. The typical form of the dynamic structure factor in the regime  $p \ll p_0$  implied by Eqs. (6.17), (6.19), and (6.21) is shown in Fig. 8. Obviously, within our approximation the dynamic structure factor does not exhibit any threshold singularities, which according to Refs. 4 and 9 are a generic feature of the dynamic structure factor of Luttinger liquids. It turns out that the absence of threshold singularities in Fig. 8 is an artifact of the rather simple regularization prescription (6.21) of the unphysical mass-shell singularity in Eq. (6.17). In Sec. VII we shall

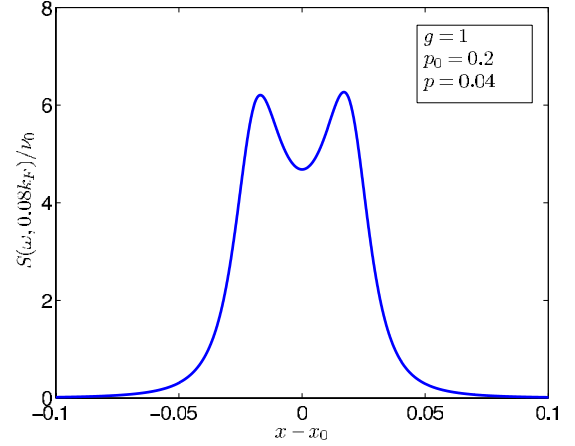


FIG. 8. (Color online) Graph of the dynamic structure factor  $S(\omega, q)$  as a function of  $x - x_0 = (\omega - vq)/(v_F q)$  for fixed  $q = 0.08 k_F$ . The line shape has been calculated from Eqs. (6.17), (6.19), and (6.21). The distance between the local maxima is proportional to  $w_q \propto q^2/m$ .

show how to recover the threshold singularities within our functional bosonization approach.

## VII. INTERACTION WITH REGULAR MOMENTUM DEPENDENCE

In this section we shall show that for more realistic interactions whose Fourier transform is for small momenta of the form  $f_q = f_0 + \frac{1}{2}f_0''q^2 + O(q^4)$  with  $f_0'' \neq 0$ , we do not encounter any mass-shell singularities. In fact, we believe that even for sharp momentum-transfer cutoff,  $f_q = f_0 \Theta(q_0 - q)$ , our perturbative result (5.1) does not suffer from mass-shell singularities as long as we do not rely on approximation A discussed in Sec. V B; in other words, the mass-shell singularity  $h_1/\Delta$  in Eq. (6.17) is an artifact of the sharp momentum-transfer cutoff in combination with our neglect of curvature corrections to the free polarization in loop integrations. While we are not able to evaluate Eqs. (5.14)–(5.17) analytically without relying on approximation A, we shall in this section abandon the sharp momentum-transfer cutoff and assume that the interaction  $f_q$  can be expanded for small  $q$  as in Eq. (2.8). Later we shall argue that as long as we rely on approximation A, our result for  $S(\omega, q)$  can only be trusted for  $q \gtrsim q_c = 1/(m|f_0''|)$  [see Eq. (2.9)]. However if  $f_0''$  is sufficiently large, then there exists a parametrically large regime  $q_c \ll q \ll k_F$  of wave vectors where our calculation is valid.

### A. Imaginary part of $\tilde{\Pi}_*^{-1}(\omega, q)$

Let us first calculate the imaginary part of the dimensionless inverse polarization  $\tilde{\Pi}_*^{-1}(x + i0, p)$  given in Eq. (5.44) assuming for simplicity  $p > 0$ . From Eqs. (5.45) and (5.47) we obtain

$$\begin{aligned} \text{Im } \tilde{\Pi}_*^{-1}(x + i0, p) &= \text{Im } \tilde{I}(x + i0, p) \\ &= \frac{1}{2} \int_0^\infty dp' p' \text{Im}[\tilde{J}(x + i0, p, p') \\ &\quad + \tilde{J}(-x - i0, p, p')]. \end{aligned} \quad (7.1)$$

In order to calculate the imaginary part of  $\tilde{J}(x+i0, p, p')$ , we first perform a partial fraction decomposition of Eq. (5.47), then carry out the analytic continuation to the real frequency axis  $iy \rightarrow x+i0$ , and finally take the imaginary part using  $\text{Im}[a-x-i0]^{-1} = \pi\delta(a-x)$ . After some lengthy algebra we obtain

$$\begin{aligned} \text{Im } \tilde{J}(x+i0, p, p') = & -\frac{\pi|p'+p|}{2x_{p'}x_{p'+p}} [1 - x_{p'}\tilde{x}_{p'+p} - x(x_{p'} - \tilde{x}_{p'+p})]^2 \\ & \times \delta(p'(x_{p'} + \tilde{x}_{p'+p}) - p(x - \tilde{x}_{p'+p})) \\ & - (p \rightarrow -p), \end{aligned} \quad (7.2)$$

where we have defined  $\tilde{x}_{p'+p} = \text{sgn}(p+p')x_{p'+p}$ . In order to perform the  $p'$  integration in Eq. (7.1), we use the fact that by assumption both  $p$  and  $p'$  are small compared to unity so that we may expand  $x_p$  to first order in  $p^2$ ,

$$x_p = x_0 + \frac{x_0''}{2}p^2 + O(p^4), \quad (7.3)$$

where from Eq. (5.11),

$$x_0'' = \frac{\text{sgn } f_0''}{\pi x_0 p_c}. \quad (7.4)$$

Note that for small  $p_c$  the coefficient  $x_0''$  is large compared to unity. The  $\delta$  functions in Eq. (7.2) can then be approximated by

$$\delta[p'(x_{p'} + x_{p'+p}) - p(x - x_{p'+p})] \approx \frac{1}{2x_p} \delta\left(p' - p \frac{x - x_p}{2x_p}\right), \quad (7.5)$$

$$\begin{aligned} & \delta(p'(x_{p'} - x_{p'+p}) - p(x + x_{p'+p})) \\ & \approx \frac{2}{3|x_0''p|} \delta\left(p'^2 + p'p + \frac{2(x+x_p)}{3x_0''}\right). \end{aligned} \quad (7.6)$$

In Eq. (7.5) we have expanded the argument of the  $\delta$  function to linear order in  $p$  and  $p'$ , assuming that both dimensionless momenta are small. On the other hand, due to the cancellation of the leading term in the difference  $x_{p'} - x_{p'+p}$  in the  $\delta$  function of Eq. (7.6), the corresponding expansion has to be carried out to cubic order in the momenta. The integration in Eq. (7.2) can now be carried out analytically and we obtain for small  $p > 0$

$$\begin{aligned} \text{Im } \tilde{\Pi}_*^{-1}(x+i0, p) & = -Z_2\Gamma(x, p) \\ & = -\pi^2 p_c \left[ \Theta(x-x_p) \tilde{g}_p^2 \tilde{\gamma}_p \frac{x^2 - x_p^2}{12x_0^4} + h_1 C_I\left(\frac{x-x_p}{\tilde{\gamma}_p}\right) \right], \end{aligned} \quad (7.7)$$

where

$$\tilde{\gamma}_p = \frac{3p^2}{8\pi x_0 p_c}, \quad (7.8)$$

and the function  $C_I(u)$  is given by

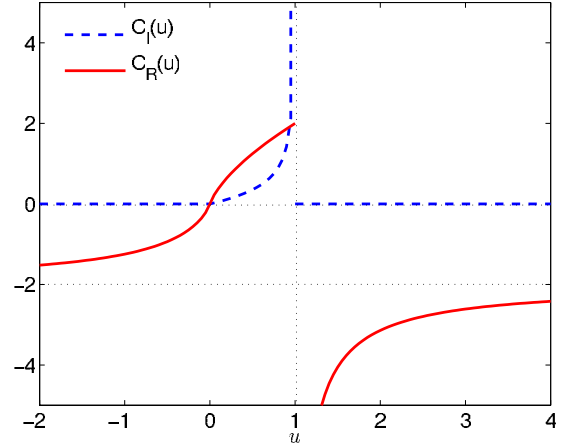


FIG. 9. (Color online) Graph of the functions  $C_I(u)$  and  $C_R(u)$  defined in Eqs. (7.9) and (7.13). The dotted lines indicate asymptotic limits.

$$C_I(u) = \Theta(u)\Theta(1-u) \frac{u}{\sqrt{1-u}}. \quad (7.9)$$

Note that the coefficient  $h_1 = (1+3x_0^2)^2/(12x_0)$  on the right-hand side of Eq. (7.7) has also appeared for sharp momentum-transfer cutoff [see Eq. (6.18e)] in form of the residue of the mass-shell singularity  $h_1/\Delta$  in our expression (6.17) for the irreducible polarization. A graph of  $C_I(u)$  is shown as the dashed line in Fig. 9. Mathematically, the square-root singularity of  $C_I(u)$  for  $u \rightarrow 1$  originates from the special point  $x-x_p = \tilde{\gamma}_p$  where the argument of the Dirac  $\delta$  function on the right-hand side of Eq. (7.5) has a double root. We believe that the divergence of  $C_I(u)$  for  $u \rightarrow 1$  is unphysical and indicates that the approximations leading to Eq. (7.5) are not sufficient in this regime. Hence, within our approximations we can only obtain reliable results for the spectral line shape as long as the ratio  $(x-x_p)/\tilde{\gamma}_p$  is not too close to unity.

## B. Real part of $\Pi_*^{-1}(\omega, q)$

For  $p_c \ll 1$  and  $p \ll 1$  we can obtain the contribution from  $\text{Re } \tilde{I}(x+i0, p)$  analytically from Eqs. (5.45) and (5.47) using the fact that among the corrections of order  $p^2$  only terms proportional to  $p^2/p_c$  need to be retained. We obtain for  $x > 0$  and  $p > 0$

$$\text{Re } \tilde{I}(x+i0, p) = I_1 - x^2 I_2 + \pi p_c h_1 \text{sgn } f_0'' C_R\left(\frac{x-x_p}{\tilde{\gamma}_p}\right), \quad (7.10)$$

where

$$I_1 = - \int_0^\infty dp p \frac{(x_p - 1)^2}{2x_p^3} (3x_p^2 + 2x_p + 1) + 2\pi p_c h_1 \text{sgn } f_0'', \quad (7.11)$$

$$I_2 = \int_0^\infty dp p \frac{(x_p - 1)^2}{x_p}, \quad (7.12)$$

and the function  $C_R(u)$  is given by

$$C_R(u) = \frac{u}{\sqrt{|1-u|}} \left[ \Theta(1-u) \ln \left| \frac{1+\sqrt{1-u}}{1-\sqrt{1-u}} \right| - 2\Theta(u-1) \arctan \left( \frac{1}{\sqrt{u-1}} \right) \right]. \quad (7.13)$$

A graph of  $C_R(u)$  is shown in Fig. 9 (solid line). Note that  $C_R(u)$  and  $C_I(u)$  can be written as  $C_R(u) = \text{Re } C(u+i0)$  and  $C_I(u) = \text{Im } C(u+i0)$ , where the complex function  $C(z)$  is

$$C(z) = \frac{z}{i\sqrt{1-z}} \ln \left( \frac{\sqrt{1-z}+1}{\sqrt{1-z}-1} \right). \quad (7.14)$$

The real part of our dimensionless inverse polarization can be written as

$$\text{Re } \tilde{\Pi}_*^{-1}(x+i0, p) = Z_1 - Z_2 x^2 + \pi p_c h_1 \text{sgn } f_0'' C_R \left( \frac{x-x_p}{\tilde{\gamma}_p} \right), \quad (7.15)$$

with

$$Z_1 = 1 + I_1 + I_H, \quad Z_2 = 1 + I_2 - I_H. \quad (7.16)$$

By assumption, the bare interaction  $f_q$  is negligibly small for momentum transfers exceeding  $q_0 \ll k_F$ , so that the integrals  $I_1$ ,  $I_2$ , and  $I_H$  are proportional to  $p_0^2 = [q_0/(2k_F)]^2 \ll 1$  and hence  $Z_i = 1 + O(p_0^2)$ . Keeping in mind the self-consistent definition (5.8) of  $x_0$ , we finally obtain for positive  $x$  and  $p$

$$g_p + \text{Re } \tilde{\Pi}_*^{-1}(x+i0, p) = Z_2 [x_p^2 - x^2 + R(x, p)], \quad (7.17)$$

where

$$R(x, p) = \frac{\pi p_c h_1}{Z_2} \text{sgn } f_0'' C_R \left( \frac{x-x_p}{\tilde{\gamma}_p} \right). \quad (7.18)$$

### C. Spectral line shape of $S(\omega, q)$

In terms of the scaled real and imaginary parts  $R(x, p)$  and  $\Gamma(x, p)$  of the inverse polarization, given in Eqs. (7.7) and (7.17), the dynamic structure factor can be written as

$$S(\omega, q) = \frac{\nu_0}{\pi Z_2} \frac{\Gamma(x, p)}{[x^2 - x_p^2 - R(x, p)]^2 + \Gamma^2(x, p)}. \quad (7.19)$$

The resulting line shape for  $p \gg p_c$  is shown in Fig. 10. Obviously,  $S(\omega, q)$  exhibits a threshold singularity at  $x = x_p$ , corresponding to the threshold frequency,

$$\omega_q^- \equiv v_F q x_p = v q + \frac{\text{sgn } f_0''}{2\pi x_0} \frac{q^3}{2mq_c}. \quad (7.20)$$

Moreover, most of the spectral weight is smeared out over the interval  $0 < x - x_p < \tilde{\gamma}_p$  or equivalently  $\omega_q^- < \omega < \omega_q^- + \gamma_q$ , where the energy scale  $\gamma_q$  is defined by

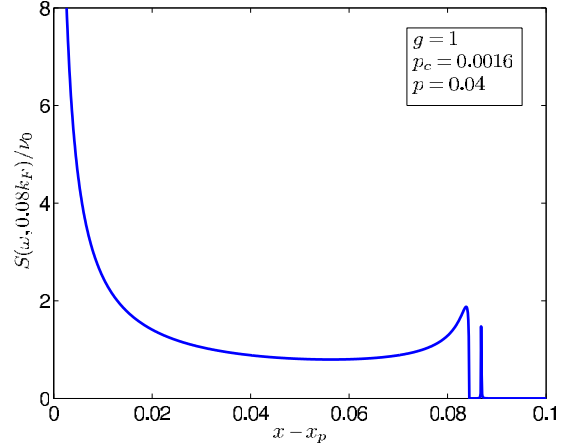


FIG. 10. (Color online) Graph of the dynamic structure factor  $S(\omega, q)$  given in Eq. (7.19) as a function of  $x - x_p$  for  $p = 0.04 = 25p_c$  and  $g = 1$ . For simplicity we have set  $Z_2 \approx 1$ , which is accurate for  $p_0 \ll 1$ . For  $p \gg p_c$  most of the spectral weight is carried by the main shoulder whose lower edge  $x \rightarrow x_p$  is bounded by a threshold singularity. The width of the main shoulder on the  $x$  axis scales as  $\tilde{\gamma}_p \propto p^2/p_c$ . Recall that  $x = \omega/(v_F q)$ , so that the corresponding width on the frequency axis scales as  $\gamma_q = v_F q \tilde{\gamma}_p \propto q^3/(mq_c)$ . For  $p \gg p_c$  the small satellite peak emerging above the upper edge of the main shoulder carries negligible spectral weight and is probably an artifact of our approximations.

$$\gamma_q = v_F q \tilde{\gamma}_p = \frac{3}{8\pi x_0} \frac{q^3}{2mq_c}. \quad (7.21)$$

The energy  $\gamma_q$  can be identified with the width of the ZS resonance on the frequency axis. The crucial point is now that for  $q \gg q_c$  Eq. (7.21) is much larger than the estimated broadening  $w_q \propto q^2/m$  of the ZS resonance due to the terms which we have neglected by making approximation A discussed in Sec. V B (which amounts to ignoring in bosonic loop integrations nonlinear terms in the energy dispersion). Our approximation A is therefore only justified in the regime where the broadening  $\gamma_q$  due to the  $q$  dependence of the interaction  $f_q$  is large compared to the broadening  $w_q$  due to the nonlinear energy dispersion in bosonic loop integrations. We thus conclude that the calculations in this section are only valid as long as  $\gamma_q \geq w_q$ . A comparison of  $\gamma_q$  and  $w_q$  is shown in Fig. 11. Obviously, the condition  $w_q = \gamma_q$  defines a characteristic crossover scale  $q_*$  where the  $q$  dependence of the width of the ZS resonance changes from  $q^2$  to  $q^3$ . Using Eqs. (6.19) and (7.21) we obtain the following estimate for the crossover momentum scale:

$$q_* = \frac{8\pi Z_w x_0}{3\sqrt{3}} q_c, \quad (7.22)$$

which has the same order of magnitude as  $q_c = 1/(m|f_0''|)$ . We conclude that the results for  $S(\omega, q)$  presented in this section are only valid for  $q \geq q_*$  and hence do not describe the asymptotic  $q \rightarrow 0$  regime. However the scale  $q_*$  can be quite small for some interactions. For example, if the interaction  $f_q$  can be approximated by Lorentzian (2.6) with screening wave vector  $q_0 \ll k_F$ , then  $q_c = q_0^2/(2mf_0)$  is quadratic in  $q_0$ .

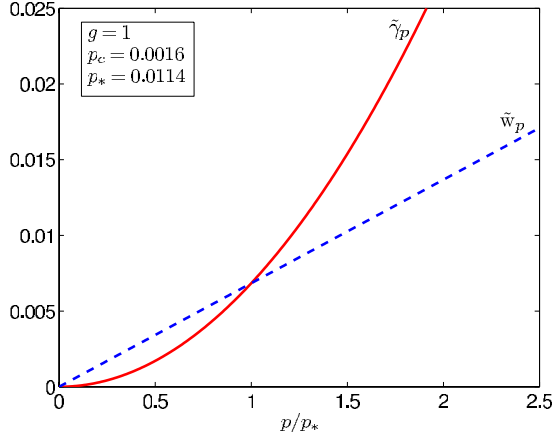


FIG. 11. (Color online) Solid line: dimensionless ZS damping  $\tilde{\gamma}_p = \gamma_q / (v_F q)$  defined in Eq. (7.21) as a function of  $p/p_*$ . Dashed line: estimate of the width  $\tilde{w}_p = w_q / (v_F q) = (Z_w / \sqrt{3}) p$  of the ZS resonance given in Eq. (6.19).

For long-range interactions the regime  $q_* \leq q \ll q_0$  where our calculation is valid can therefore be quite large and physically more relevant than the asymptotic long-wavelength regime  $q \ll q_*$ .

The small “satellite peak” slightly above the main shoulder in Fig. 10 is probably an artifact of our approximations, in particular of approximation A discussed in Sec. V B. It is easy to show that the satellite peak is located at a distance  $\delta x \propto p_c^3 / p^2 \ll \tilde{\gamma}_p$  above the upper edge  $x_p + \tilde{\gamma}_p$  of the main shoulder and its width is proportional to  $p^2 \tilde{\gamma}_p \propto p^4 / p_c \ll \delta x \ll \tilde{\gamma}_p$ . Note that in the regime  $q \gg q_c$  where our calculation is valid the threshold singularity is located at  $\omega_q^- \approx vq - \gamma_q$  (up to corrections of the order  $q^2/m \ll \gamma_q$ ), while the energy scale of the satellite peak is  $vq + O(q^2/m)$ . However, as discussed after Eq. (7.9), in the regime  $|(x - x_p) / \tilde{\gamma}_p - 1| \ll 1$  our approximation A is not reliable, so that the detailed line shape in the vicinity of the satellite peak is probably incorrect. Fortunately, for  $p \gg p_c$  the satellite peak carries negligible weight, so that our calculation reproduces the main features of the spectral line shape. We speculate that a more accurate evaluation of our self-consistency equation for  $\Pi_s(\omega, q)$  derived in Sec. V A, which does not rely on approximation A in Sec. V B, will generate additional weight in the dip between the upper edge of the main shoulder and the satellite peak, resulting in a single local maximum at the upper edge of the main shoulder. The spectral line shape looks then qualitatively similar to the line shape proposed in Refs. 4 and 9.

Let us next consider the line shape in the vicinity of the threshold singularity  $x \rightarrow x_p$ . For  $0 < (x - x_p) / \tilde{\gamma}_p \ll 1$  we may approximate

$$\Gamma(x, p) \approx 2\pi x_0 |\eta_p| (x - x_p), \quad (7.23)$$

$$R(x, p) \approx -2x_0 \eta_p (x - x_p) \ln \left[ \frac{4\tilde{\gamma}_p}{x - x_p} \right], \quad (7.24)$$

where we have defined

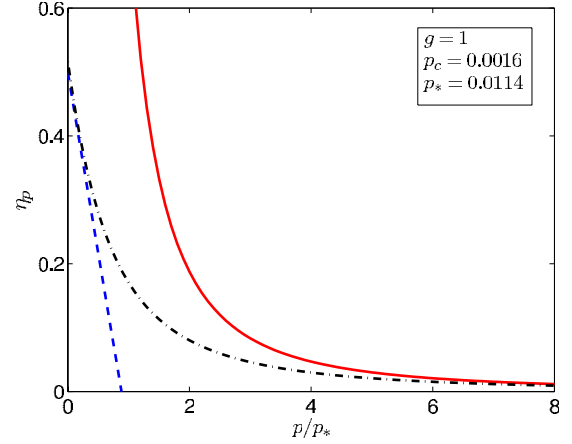


FIG. 12. (Color online) Solid line: graph of  $\eta_p$  defined in Eq. (7.25) as a function of  $p/p_*$  for  $f_0'' < 0$ . The dashed line is the weak-coupling result  $\eta_p \approx 1/2 - p/(4\pi p_c)$  obtained by Pustilnik *et al.* in Ref. 4. The dashed-dotted curve is a simple parabolic interpolation.

$$\eta_p = -\text{sgn } f_0'' \frac{\pi p_c h_1}{2Z_2 x_0 \tilde{\gamma}_p} = -\text{sgn } f_0'' \frac{3p_*^2}{4p^2}. \quad (7.25)$$

In the last line we have approximated  $Z_2 \approx 1$ . From the above discussion it is clear that this expression can only be trusted for  $p \geq p_*$ . A graph of  $\eta_p$  as a function of  $p/p_*$  is shown in Fig. 12. In the regime  $|\eta_p| \ln[4\tilde{\gamma}_p / (x - x_p)] \gg 1$ , which is equivalent with

$$0 < x - x_p \ll 4\tilde{\gamma}_p \exp[-1/|\eta_p|], \quad (7.26)$$

the dynamic structure factor can thus be approximated by

$$S(\omega, q) \sim \frac{\nu_0}{2x_0 Z_2 |\eta_p|} \frac{1}{(x - x_p) \ln^2 \left[ \frac{4\tilde{\gamma}_p}{x - x_p} \right]}. \quad (7.27)$$

According to Pustilnik *et al.*,<sup>4</sup> the logarithmic singularity can be resummed to all orders, so that it is transformed into an algebraic one. Assuming that this is indeed correct, we can replace

$$\begin{aligned} x^2 - x_p^2 - R(x, p) &\approx 2x_0(x - x_p) \left\{ 1 + \eta_p \ln \left[ \frac{4\tilde{\gamma}_p}{x - x_p} \right] \right\} \\ &\rightarrow 2x_0(x - x_p) \left[ \frac{4\tilde{\gamma}_p}{x - x_p} \right]^{\eta_p}. \end{aligned} \quad (7.28)$$

For  $x \rightarrow x_p$  the dynamic structure factor then diverges as

$$S(\omega, q) \sim \frac{\nu_0}{2x_0 Z_2} \frac{|\eta_p|}{(4\tilde{\gamma}_p)^2 \eta_p} \frac{1}{[x - x_p]^{\mu_p}}, \quad (7.29)$$

with the threshold exponent

$$\mu_p = 1 - 2\eta_p = 1 + \text{sgn } f_0'' \frac{3p_*^2}{2p^2}. \quad (7.30)$$

Note that for  $f_0'' < 0$  and  $p \ll 1$  the weak-coupling estimate for  $\mu_p$  given by Pustilnik *et al.*<sup>4</sup> is in our notation

$$\mu_p \approx \frac{p}{2\pi p_c}, \quad (7.31)$$

implying

$$\eta_p = \frac{1}{2} [1 - \mu_p] = \frac{1}{2} \left[ 1 - \frac{p}{2\pi p_c} \right]. \quad (7.32)$$

As shown in Fig. 12, this is consistent with a smooth crossover to our result (7.25) at  $p/p_* = O(1)$ . Qualitatively, we expect that the behavior of  $\eta_p$  in the crossover regime resembles the dashed-dotted interpolation curve in Fig. 12. Note that  $\eta_p \leq 1/2$  for all  $p$ , so that  $\mu_p \geq 0$ . For some integrable models where  $\eta_p$  has recently been calculated exactly,<sup>5,6</sup> the momentum dependence of  $\eta_p$  looks different from our result for the FSM. For example, in the Calogero-Sutherland model  $\eta_p$  is independent of  $p$  [see Ref. 5]. However, the Fourier transform  $f_q$  of the interaction in the Calogero-Sutherland model vanishes for  $q=0$ , while in the integrable XXZ chain considered in Refs. 6 and 9–11 the effective interaction of the equivalent one-dimensional fermion system involves also momentum transfers of the order of  $k_F$ . Moreover, in the XXZ chain there exists no crossover scale  $q_c$  satisfying  $q_c = (m|f''_0|)^{-1} \ll k_F$ , so that the intermediate regime  $q_c \ll q \ll k_F$  where  $\gamma_q \propto q^3/q_c$  simply does not exist. The existence of such an intermediate regime seems to be a special feature of the FSM considered here, where  $f_q$  involves only small momentum transfers and has a finite limit for  $q=0$ .

Within our perturbative approach we cannot justify the resummation procedure (7.28). Note that for  $f''_0 > 0$  the exponent  $\eta_p$  in Eq. (7.25) is negative, so that the singularity in Eq. (7.29) is not integrable and exact sum rules<sup>16</sup> cannot be satisfied. In contrast, the original logarithmic singularity in Eq. (7.27) is integrable (the integral  $\int_0 dt / [t \ln^2 t]$  is finite), so that at least for  $f''_0 > 0$  the logarithm found in perturbation theory cannot be exponentiated. On the other hand, an interaction with  $f''_0 > 0$  seems to be unphysical and does not describe a stable Luttinger liquid.<sup>39</sup>

Finally, consider the tails of the spectral function. For  $x \gg x_p$  we obtain from Eqs. (7.7) and (7.19)

$$S(\omega, q) \sim \frac{\nu_0}{\pi Z_2} \frac{\Gamma(x, p)}{x^4}, \quad (7.33)$$

$$\Gamma(x, p) \sim \frac{\pi^2 p_c}{12 Z_2 x_0^4} \tilde{g}_p^2 \tilde{\gamma}_p x^2. \quad (7.34)$$

Inserting our result (7.8) for  $\tilde{\gamma}_p$  we obtain

$$S(\omega, q) \sim \frac{\nu_0 \tilde{g}_p^2}{32 Z_2^2 x_0^5} \left[ \frac{q^2}{2m\omega} \right]^2, \quad (7.35)$$

in agreement with Refs. 7, 9, 10, and 14. Note that the tail of  $S(\omega, q)$  is determined by the first term on the right-hand side of the damping function  $\Gamma(x, p)$  given in Eq. (7.7), whereas the regime close to the ZS resonance is determined by the second term involving the complex function  $C(z)$ . This is the reason why the spectral line shape close to the ZS resonance

cannot be obtained via extrapolation from the tails assuming a Lorentzian line shape.

## VIII. SUMMARY AND CONCLUSIONS

In this work we have used functional bosonization to calculate the dynamic structure factor  $S(\omega, q)$  of a generalized Tomonaga model (which we have called *forward-scattering model*), consisting of spinless fermions in one dimension with quadratic energy dispersion and an effective density-density interaction involving only momentum transfers which are small compared to  $k_F$ . We have derived in Sec. V a self-consistency equation for the irreducible polarization  $\Pi_*(\omega, q)$  which does not suffer from the mass-shell singularities encountered in other perturbative approaches. Although for the explicit evaluation of  $S(\omega, q)$  we had to make some drastic approximations (in particular, in bosonic loop integrations we have neglected curvature corrections to the free polarization, see approximation A discussed in Sec. V B), we have found a regime of wave vectors  $q_c \ll q \ll k_F$  where an explicit analytic calculation of the spectral line shape is possible. The crossover scale  $q_c = 1/(m|f''_0|)$  is determined by the second derivative  $f''_0$  of the Fourier transform of interaction at  $q=0$ . For interactions whose Fourier transform can be approximated by a Lorentzian with screening wave vector  $q_0 \ll k_F$ , the crossover scale  $q_c$  is proportional to  $q_0^2$ , so that the regime  $q_c \ll q \ll k_F$  is quite large and can be experimentally more relevant than the asymptotic long-wavelength regime. We have shown that for  $q_c \ll q \ll k_F$  the width of the ZS resonance on the frequency axis scales as  $\gamma_q \propto q^3/(mq_c)$ . Our result is consistent with a smooth crossover at  $q \approx q_c$  to the asymptotic long-wavelength result  $\gamma_q \propto q^2/m$  obtained by other authors.<sup>14,9</sup> The spectral line shape is non-Lorentzian, with a main hump whose low-energy side is bounded by a threshold singularity at  $\omega = \omega_q^- = vq - \gamma_q$ , a small local maximum around  $\omega \approx vq$ , and a high-frequency tail which scales as  $q^4/\omega^2$ . For  $\omega \rightarrow \omega_q^- + 0$  the threshold singularity is within our approximation logarithmic,  $S(\omega, q) \propto [(\omega - \omega_q^-) \ln^2(\omega - \omega_q^-)]^{-1}$ . Assuming that higher orders in perturbation theory exponentiate the logarithm, we obtain an algebraic threshold singularity with exponent  $\mu_q = 1 - 2\eta_q$  and  $\eta_q \propto q_c^2/q^2$  for  $q \gg q_c$ .

Finally, let us point out a number of open problems: (1) It is by now established that, at least in integrable models,  $S(\omega, q)$  indeed exhibits algebraic threshold singularities.<sup>5,6,9–11</sup> However, for generic nonintegrable models there is no proof that the logarithmic singularities generated in higher orders of perturbation theory indeed conspire to transform the logarithm encountered at the first order into an algebraic singularity, as suggested in Ref. 4. This would require a thorough analysis of the higher-order terms in perturbative expansion, which so far has not been performed. Possibly a careful analysis of the functional renormalization-group flow equation for the irreducible polarization derived in Refs. 40 and 41 will shed some light onto this difficult problem. However this seems to require extensive numerics, which is beyond the scope of this work. (2) For the explicit evaluation of the self-consistency equation for the irreducible polarization  $\Pi_*(\omega, q)$  derived in Sec. V A, we had to rely on

this work on approximation A discussed in Sec. V B. We have argued that this approximation is not sufficient to calculate the dynamic structure factor for  $q \lesssim q_c$  because it neglects the dominant damping mechanism in this regime. Moreover, for sharp momentum-transfer cutoff our approximation A breaks down for frequencies in the vicinity of the mass-shell singularity. It would be interesting to evaluate the self-consistency equation for the irreducible polarization  $\Pi_*(\omega, q)$  derived in Sec. V A without relying on approximation A. We believe that in this case our functional bosonization result for  $S(\omega, q)$  does not exhibit any mass-shell singularities even for sharp cutoff. The explicit evaluation of the relevant integrals is quite challenging and probably requires considerable numerical effort (including a numerical analytic continuation), which is beyond the scope of this work. (3) By assumption, the interaction of the FSM considered in this work is dominated by small momentum transfers  $q \ll k_F$ . On the other hand, the Fourier transform of the effective interaction in the Jordan-Wigner transformed XXZ chain studied in Refs. 9–11 has also components involving momentum transfers of the order of  $k_F$ . It should be interesting to investigate more thoroughly how the dynamic structure factor depends on the properties of the interaction. Unfortunately, the FSM discussed in this work is not integrable and there seems to be no integrable model with quadratic energy dispersion where the interaction involves only small momentum transfers and has a finite limit for  $q \rightarrow 0$ .

#### ACKNOWLEDGMENTS

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#### APPENDIX: FERMION LOOPS FOR QUADRATIC DISPERSION IN ONE DIMENSION

In the functional bosonization approach the vertices of the interaction part  $S_{\text{int}}[\delta\phi]$  of the bosonized action (4.20) are proportional to the symmetrized closed fermion loops [cf. Eq. (4.21)] defined by

$$L_S^{(n)}(Q_1, \dots, Q_n) = \frac{1}{n!} \sum_{P(1, \dots, n)} L^{(n)}(Q_{P(1)}, \dots, Q_{P(n)}), \quad (\text{A1})$$

where the sum is over all permutations  $P(1, \dots, n)$  of  $1, \dots, n$ , and the nonsymmetrized loops  $L^{(n)}(Q_1, \dots, Q_n) = \bar{L}^{(n)}(\bar{Q}_1, \dots, \bar{Q}_n)$  are given by

$$\begin{aligned} \bar{L}^{(n)}(\bar{Q}_1, \dots, \bar{Q}_n) &= \int_K \prod_{i=1}^n G_0(K - \bar{Q}_i) \\ &= \int_K G_0(K - \bar{Q}_1) G_0(K - \bar{Q}_2) \cdots G_0(K - \bar{Q}_n), \end{aligned} \quad (\text{A2})$$

with the shifted labels  $\bar{Q}_j = \sum_{i=1}^{j-1} Q_i$  and fermionic Green’s functions  $G_0(K)$  from the self-consistent Hartree approximation [see Eq. (4.16)].

For fermions with quadratic energy dispersion in  $D$  dimensions, Neumayr and Metzner<sup>28,29</sup> [see also Ref. 30] derived reduction formulas which express the nonsymmetrized loops for  $n > D+1$  in terms of linear combinations of the more elementary loop  $\bar{L}^{(D+1)}(\bar{Q}_1, \dots, \bar{Q}_{D+1})$ . In particular, in  $D=1$  the nonsymmetrized loops  $\bar{L}^{(n)}(\bar{Q}_1, \dots, \bar{Q}_n)$  with  $n > 2$  can be expressed in terms of the two loop  $\bar{L}^{(2)}(0, -Q) = L_S^{(2)}(-Q, Q) = -\Pi_0(Q)$ .

In one dimension, these reduction formulas can be obtained by straight-forward partial fraction decomposition. Performing the frequency integration in Eq. (A2), we obtain

$$\bar{L}^{(n)}(\bar{Q}_1, \dots, \bar{Q}_n) = \sum_{i=1}^n \int_{-k_F}^{k_F} \frac{dk}{2\pi} \prod_{\substack{j=1 \\ j \neq i}}^n \frac{1}{\Omega_{ij}(k)}, \quad (\text{A3})$$

where  $\bar{Q}_i = (i\bar{\omega}_i, \bar{q}_i)$  and

$$\Omega_{ij}(k) = i(\bar{\omega}_i - \bar{\omega}_j) + \xi_k - \xi_{k+\bar{q}_i-\bar{q}_j}. \quad (\text{A4})$$

For quadratic dispersion relation  $\xi_k = (k^2 - k_F^2)/(2m)$  we may alternatively write Eq. (A3) as

$$\bar{L}^{(n)}(\bar{Q}_1, \dots, \bar{Q}_n) = \sum_{i=1}^n \int_{-k_F}^{k_F} \frac{dk}{2\pi} \prod_{\substack{j=1 \\ j \neq i}}^n \frac{m}{(\bar{q}_j - \bar{q}_i)(k - k_{ij})}, \quad (\text{A5})$$

where we have defined

$$k_{ij} = \frac{\bar{q}_j - \bar{q}_i}{2} + im \frac{\bar{\omega}_j - \bar{\omega}_i}{\bar{q}_j - \bar{q}_i}. \quad (\text{A6})$$

We can now perform a partial fraction expansion with respect to  $k$  to obtain

$$\bar{L}^{(n)}(\bar{Q}_1, \dots, \bar{Q}_n) = \sum_{\substack{i,j=1 \\ i \neq j}}^n \left[ \prod_{\substack{l=1 \\ l \neq i,j}}^n H_{ijl} \right]^{-1} \frac{m}{\bar{q}_j - \bar{q}_i} \int_{-k_F}^{k_F} \frac{dk}{2\pi} \frac{1}{k - k_{ij}}, \quad (\text{A7})$$

with

$$H_{ijl} = \frac{1}{q_{ij}} \left[ i(\omega_{il} q_{lj} - q_{il} \omega_{lj}) - \frac{q_{li} q_{lj} q_{ij}}{2m} \right]. \quad (\text{A8})$$

Here, we have introduced the notation

$$q_{ij} = \bar{q}_i - \bar{q}_j = \begin{cases} \sum_{l=j}^{i-1} q_l, & i > j \\ -\sum_{l=i}^{j-1} q_l, & j > i \end{cases} \quad (\text{A9})$$

and similarly for  $\omega_{ij} = \bar{\omega}_i - \bar{\omega}_j$ . To obtain Eq. (A8), we have used  $q_{ij} = q_{il} + q_{lj}$  and  $\omega_{ij} = \omega_{il} + \omega_{lj}$ . Comparison with the special case  $n=2$  then yields

$$L^{(n)}(Q_1, \dots, Q_n) = - \sum_{\substack{i,j=1 \\ i < j}}^n \left[ \prod_{\substack{l=1 \\ l \neq i,j}}^n H_{ijl} \right]^{-1} \Pi_0(Q_{ij}), \quad (\text{A10})$$

with  $Q_{ij} = (i\omega_{ij}, q_{ij})$ . The function  $\Pi_0(Q_{ij})$  is explicitly given in Eq. (3.4). Our result (A10) is equivalent with Eq. (19) of Ref. 29.

After explicitly performing the sum over all permutations in Eq. (A1), the resulting expressions for the symmetrized loops are rather complicated. Therefore, we shall discuss separately below the symmetrized three loop and the symmetrized four loop for the particular combination of arguments needed in our perturbative calculation. However, without explicitly evaluating the loops the following two general properties can be established: (1) The symmetrized  $n$  loops  $L_S^{(n)}(Q_1, \dots, Q_n)$  are finite for all values of their arguments.<sup>29</sup> This guarantees that in the perturbative expansion of the irreducible polarization  $\Pi_*(Q)$  in powers of the RPA interaction no infrared singularities are encountered. (2) Rewriting the symmetrized  $n$  loops in dimensionless form, we define the dimensionless symmetrized  $n$  loop  $\tilde{L}_S^{(n)}(Q_1, \dots, Q_n)$  via

$$(n-1)! L_S^{(n)}(Q_1, \dots, Q_n) = \frac{v_0}{(mv_F^2)^{n-2}} \tilde{L}_S^{(n)}(y_1, p_1; \dots; y_n, p_n). \quad (\text{A11})$$

Note that a finite limit of the dimensionless functions  $\tilde{L}_S^{(n)}$  for small momenta does not contradict the loop cancellation theorem<sup>25,27,29,32,33</sup> because according to Eq. (A11) the physical symmetrized loops  $L_S^{(n)}$  involve extra powers of  $1/m$ , so that they vanish for  $1/m \rightarrow 0$ .

### 1. Symmetrized three loop

In terms of the dimensionless variables introduced in Eqs. (3.11) and (3.12), we may write the symmetrized three loop in the dimensionless form [Eq. (A11)],

$$2L_S^{(3)}(i\omega_1, q_1; i\omega_2, q_2; -i\omega_1 - i\omega_2, -q_1 - q_2) = \frac{v_0}{mv_F^2} \tilde{L}_S^{(3)}(iy_1, p_1; iy_2, p_2), \quad (\text{A12})$$

with

$$\tilde{L}_S^{(3)}(iy_1, p_1; iy_2, p_2) = \frac{1}{(y_1 - y_2)^2 + (p_1 + p_2)^2} \left[ \frac{1}{s_2} \tilde{\Pi}_0(iy_1, p_1) + \frac{1}{s_1} \tilde{\Pi}_0(iy_2, p_2) - \frac{1}{s_1 s_2} \tilde{\Pi}_0(iy_1 s_1 + iy_2 s_2, p_1 + p_2) \right], \quad (\text{A13})$$

where we have defined

$$s_1 = \frac{p_1}{p_1 + p_2} = \frac{r}{r+1}, \quad s_2 = \frac{p_2}{p_1 + p_2} = \frac{1}{r+1}, \quad (\text{A14})$$

with  $r = p_1/p_2$ . For later convenience we also define

$$r_1 = \frac{p_1}{p_1 - p_2} = \frac{r}{r-1}, \quad r_2 = \frac{p_2}{p_2 - p_1} = \frac{-1}{r-1}. \quad (\text{A15})$$

Note that by construction  $s_1 + s_2 = r_1 + r_2 = 1$ .

At the first sight it seems that the symmetrized three loop diverges for  $|p_1/p_2| \rightarrow 0$  or  $|p_2/p_1| \rightarrow 0$ . Moreover, the prefactor in Eq. (A13) diverges in the special limit  $p_1 \rightarrow p_2$  and  $y_1 \rightarrow y_2$ . It turns out, however, that all divergences cancel and the symmetrized three loop is everywhere of the order of unity. This nontrivial cancellation cannot be obtained by power counting and can be viewed to be a consequence of the asymptotic Ward identity associated with the separate conservation of left- and right-moving particles for linearized energy dispersion.<sup>32,33</sup>

The limiting behavior of the function  $\tilde{L}_S^{(3)}(iy_1, p_1; iy_2, p_2)$  for  $p_1 \rightarrow 0$  and  $p_2 \rightarrow 0$  is not unique but depends on the ratio  $r = p_1/p_2$ . Using Eq. (3.16) we obtain after some algebra

$$\lim_{p_i \rightarrow 0, p_1/p_2 = r} \tilde{L}_S^{(3)}(iy_1, p_1; iy_2, p_2) = \tilde{L}_{S,0}^{(3)}(iy_1, iy_2, r), \quad (\text{A16})$$

with

$$\tilde{L}_{S,0}^{(3)}(iy_1, iy_2, r) = - \frac{1 - y_1 y_2 - (y_1 + y_2)(s_1 y_1 + s_2 y_2)}{[1 + y_1^2][1 + y_2^2][1 + (s_1 y_1 + s_2 y_2)^2]}, \quad (\text{A17})$$

which is manifestly finite for all values of its arguments.

### 2. Symmetrized four loop

Since the symmetrized four loop is more complicated than the three loop, we only give an explicit result for the special combination of external labels needed in Eq. (5.14). The dimensionless symmetrized four loop can then be expressed as

$$6L_S^{(4)}(i\omega_1, q_1; -i\omega_1, -q_1; i\omega_2, q_2; -i\omega_2, -q_2) = \frac{v_0}{(mv_F^2)^2} \tilde{L}_S^{(4)}(iy_1, p_1; iy_2, p_2), \quad (\text{A18})$$

where

$$\begin{aligned} \tilde{L}_S^{(4)}(iy_1, p_1; iy_2, p_2) = & + \frac{p_1}{2} \text{Re}[p_+ C_+^2 + p_- C_-^2 + 2p_1 C_+^* C_-] \tilde{\Pi}_0(iy_1, p_1) + \frac{p_2}{2} \text{Re}[p_+ C_+^2 - p_- C_-^2 - 2p_2 C_+ C_-] \tilde{\Pi}_0(iy_2, p_2) \\ & - p_+^2 [\text{Re } C_+]^2 \tilde{\Pi}_0(iy_1 s_1 + iy_2 s_2, p_+) - p_-^2 [\text{Re } C_-]^2 \tilde{\Pi}_0(iy_1 r_1 + iy_2 r_2, p_-) \\ & + \frac{1}{2} \text{Im}[C_+ - C_-] \text{Im}[W(iy_1, p_1) - W(iy_2, p_2)] - \text{Re}[W(iy_1, p_1) W(iy_2, p_2)]. \end{aligned} \quad (\text{A19})$$

Here, we have introduced  $y_{\pm} = y_1 \pm y_2$ ,  $p_{\pm} = p_1 \pm p_2$ , as well as

$$C_{\pm} = \frac{1}{p_1 p_2 [iy_{\pm} - p_{\pm}]}, \quad (\text{A20})$$

and the complex function

$$W(iy, p) = \frac{1}{2p} \left[ \frac{1}{iy + 1 + p} - \frac{1}{iy + 1 - p} \right]. \quad (\text{A21})$$

Naively, one would again expect the expression in Eq. (A19) to be singular for  $y_1 \rightarrow y_2$  and  $|p_1| \rightarrow |p_2|$  or if  $p_1/p_2$  approaches either zero or infinity. Yet, all singularities cancel and the symmetrized four loop remains finite for all values of its arguments. To exhibit this explicitly, consider again the limit,

$$\lim_{p_i \rightarrow 0, p_1/p_2 = r} \tilde{L}_S^{(4)}(iy_1, p_1, iy_2, p_2) = \tilde{L}_{S,0}^{(4)}(iy_1, iy_2, r), \quad (\text{A22})$$

where, after some lengthy algebra, we obtain with  $t_i = r_i s_i$

$$\begin{aligned} \tilde{L}_{S,0}^{(4)}(iy_1, iy_2, r) = & - \frac{[1 - y_1^2][1 - y_2^2]}{[1 + y_1^2]^2 [1 + y_2^2]^2} + \frac{1}{[1 + y_1^2]^2 [1 + y_2^2]^2 [1 + (s_1 y_1 + s_2 y_2)^2] [1 + (r_1 y_1 + r_2 y_2)^2]} \\ & \times \{ -1 + 6y_1 y_2 + t_1 t_2 (y_1 - y_2)^2 [y_1^2 + y_2^2 + 6y_1 y_2] + 2(t_1 y_1 + t_2 y_2)^2 y_1 y_2 (4 - y_1 y_2) + 2(t_1 y_1 + t_2 y_2) \\ & \times [(t_1 y_1 - t_2 y_2)(y_1^2 - y_2^2) + (t_1 y_2 + t_2 y_1)] + (t_1 y_1^2 + t_2 y_2^2)^2 + (t_1 y_1^2 + t_2 y_2^2)(2 - y_1^2 y_2^2) + (t_1 y_2^2 + t_2 y_1^2) \}. \end{aligned} \quad (\text{A23})$$

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- <sup>35</sup>In three dimensions, the single-pair particle-hole continuum is within RPA smeared out over a frequency interval  $0 < \omega \lesssim v_F |\mathbf{q}|$ , while the collective ZS mode lies (for repulsive interactions) above the upper limit of the single-pair continuum (Ref. 16). More generally, in arbitrary dimensions and for repulsive short-range interactions the long-wavelength dispersion of the ZS mode is  $v|\mathbf{q}|$  with  $v > v_F$ .
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